

# Schema Mappings and Data Examples

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# Relational Databases for Logicians\*

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- **Database schema** ~ a finite relational signature. E.g.,
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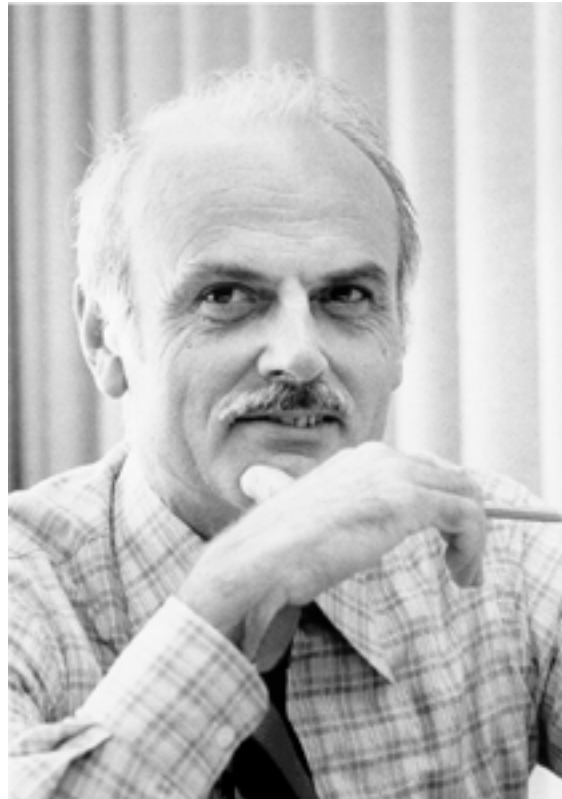
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Edgar F. Codd (1923-2003)

# Query Languages

- Most important query languages
  - **Conjunctive Queries (CQs)**:  $\psi(\mathbf{x}) = \exists \mathbf{y} (\alpha_1(\mathbf{x}, \mathbf{y}) \wedge \dots \wedge \alpha_n(\mathbf{x}, \mathbf{y}))$
  - **Unions of Conjunctive Queries (UCQs)**: disjunctions of CQs.
  - **First-order Queries** ( $\sim$  SQL queries)
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- Most database queries in practice are CQs (a.k.a. SELECT-FROM-WHERE)
- UCQs form a “robustly decidable” fragment of FO logic.
  - In particular, equivalence is decidable (NP-complete).

# Excursion: decidable fragments of FO

- Unions of Conjunctive queries:

- $\phi(\mathbf{x}) := R(\mathbf{x}) \mid x_i = x_j \mid \phi(x) \wedge \phi(x) \mid \phi(x) \vee \phi(x) \mid \exists y \phi(\mathbf{x}, y)$

- The modal fragment:

- $\phi(x) := P(x) \mid \phi(x) \wedge \phi(x) \mid \neg\phi(x) \mid \exists y(Rxy \wedge \phi(y))$

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- Further extension: GNFO (Guarded-Negation Fragment of FO)  
[Barany, tC & Segoufin 2011]

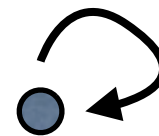
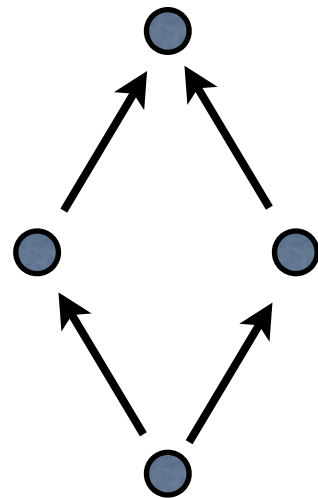
# Homomorphisms

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- **Definition:**
  - Let  $I$  and  $J$  be instances (i.e., finite structures) over the same schema. A **homomorphism**  $h: I \rightarrow J$  is a map from the domain of  $I$  to the domain of  $J$  such that  $(a,b,c) \in R^I$  implies  $(h(a),h(b),h(c)) \in R^J$ .

# Examples



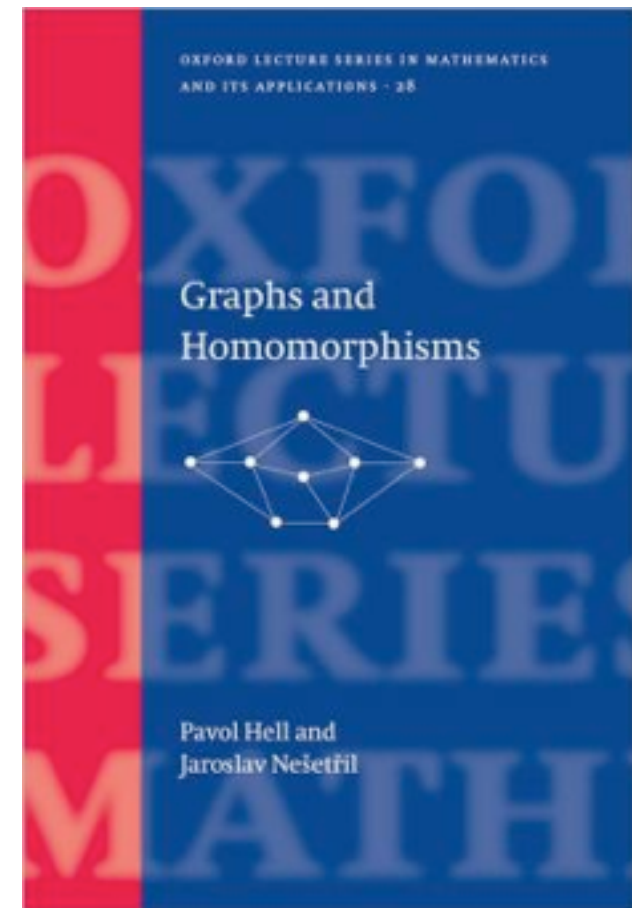


- **Def:** A query  $q$  is **preserved by homomorphism** if for all instances  $I$  and  $J$  and for all homomorphisms  $h:I \rightarrow J$ ,  $(a,b,c) \in q(I)$  implies  $(h(a),h(b),h(c)) \in q(J)$ .

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- **Thm.** A first-order query is preserved by homomorphisms if and only if it is equivalent to a union of conjunctive queries [Rossman 2005].
  - One of the few preservation theorems that hold over finite structures.

# The Homomorphism Quasi-Order

- We write  $I \rightarrow J$  if there is a homomorphism  $h: I \rightarrow J$ .
- Fix any relational schema  $S$  and let  $\text{FinStr}[S]$  be the **finite structures (i.e., instances) over  $S$** .
- $(\text{FinStr}[S], \rightarrow)$  is a **quasi-order** (reflexive and transitive).
- Its structure has been extensively studied. We will make use of some beautiful results from this area.



# Database Constraints

- Database constraints express structural properties of relations in a schema.
  - $\forall x,y,z,u (\text{PARTICIPANT}(x,y,z) \rightarrow \exists t \text{FLIGHT}(z,t))$
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- Traditional uses of constraints:
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- The most well-studied language for specifying constraints:
  - **Dependencies** :  $\forall \mathbf{x} (\alpha_1 \wedge \dots \wedge \alpha_n \rightarrow \exists \mathbf{y} (\beta_1 \wedge \dots \wedge \beta_n))$
  - Rich enough to express most database constraints in practice.
  - Unfortunately, basic tasks (e.g., entailment) are undecidable.

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# The Data Interoperability Challenge

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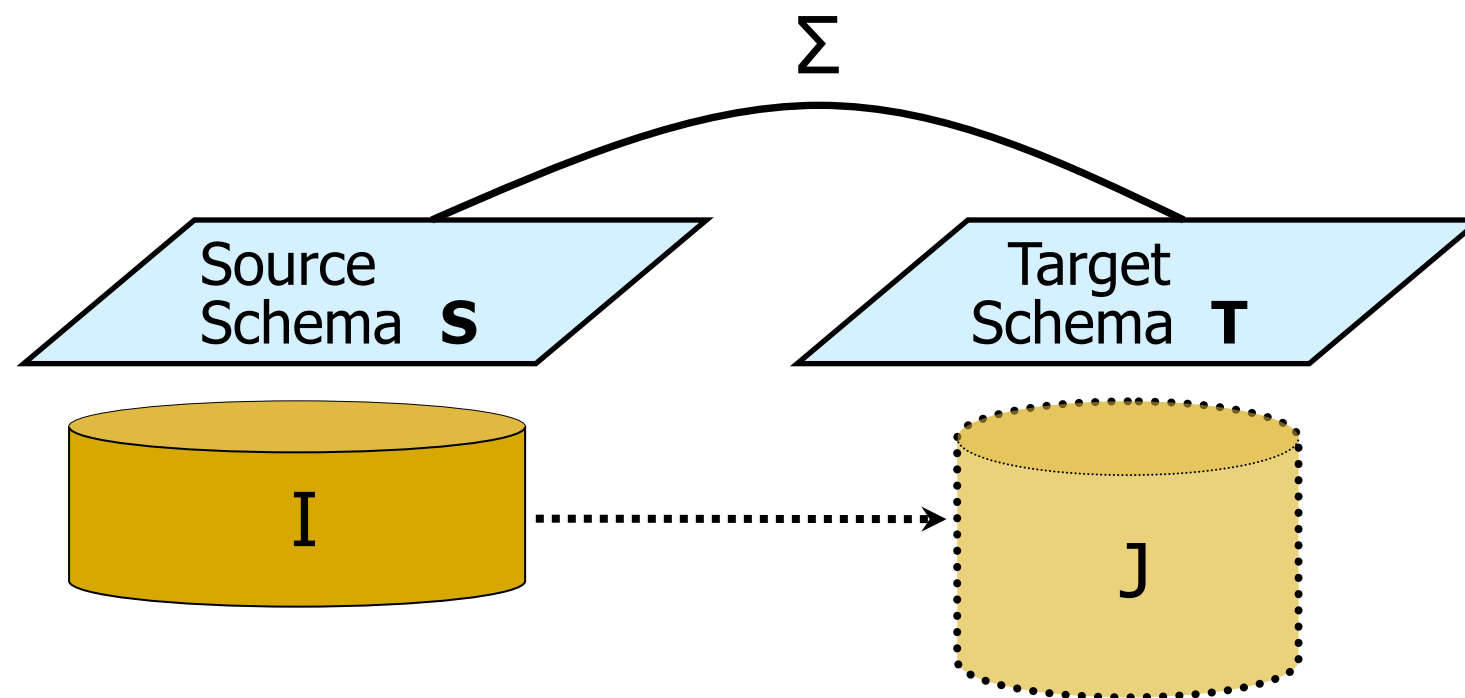
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- Data-Interoperability:
  - Data may be distributed over different sources, using different schemas.
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- How can we **uniformly access and manipulate data across sources?**
- Two examples of data interoperability tasks:
  - **Data Integration**
  - **Data Exchange**

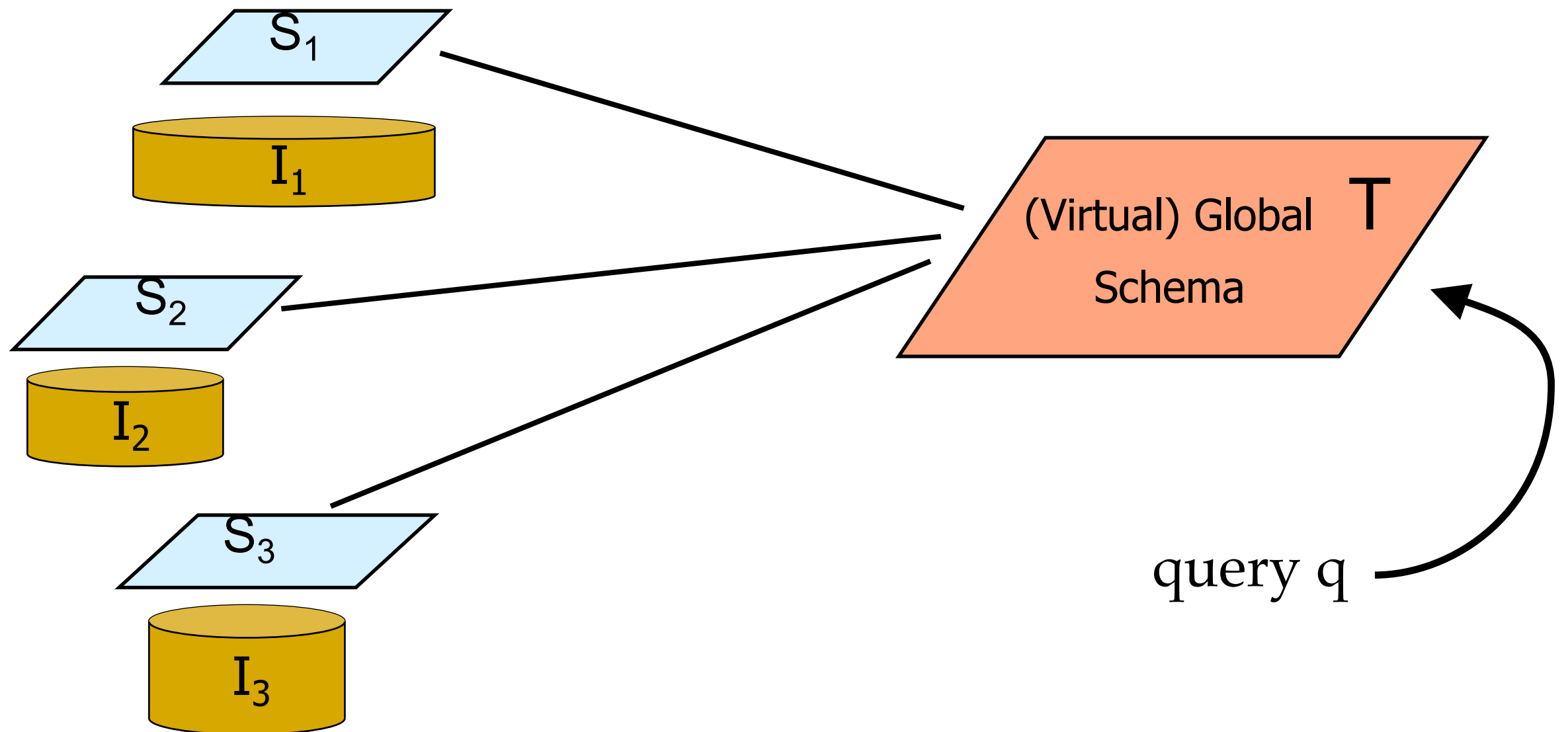
# Data Exchange

Transform data structured under a **source** schemas into data structured under a **target** schema.



# Data Integration

Query heterogeneous data in different **sources** via a virtual **global** schema



# Schema Mappings

- A schema mappings is a **logical specification of the relationships between two database schemas**.
- Schema mappings are fundamental in the formalization **data interoperability** tasks such as data exchange and data integration.

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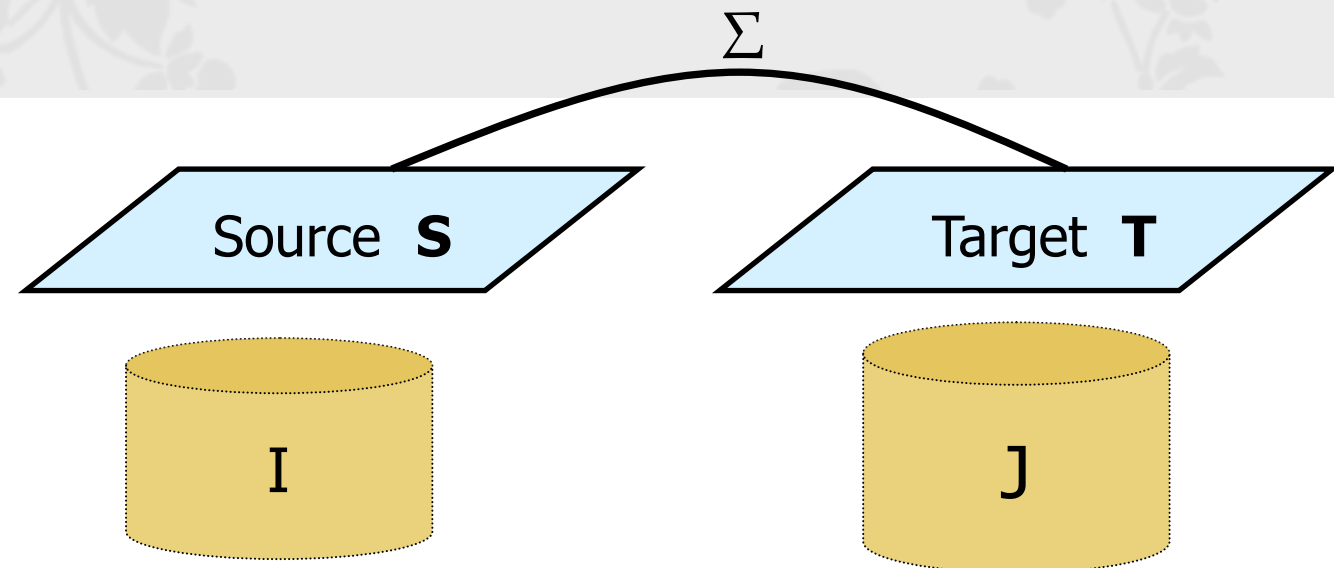
- A schema mappings is a **logical specification of the relationships between two database schemas**.
- Schema mappings are fundamental in the formalization **data interoperability** tasks such as data exchange and data integration.
- Formally, a schema mapping is a triple  $M=(S,T,\Sigma)$ , where
  - S and T are schemas (the “**source schema**” and the “**target schema**”)
  - $\Sigma$  is a collection of **constraints involving the relations of S and T**, specified in some schema mapping language (details to come). E.g.,  $\forall x,y,z(\text{PARTICIPANT}(x,y,z) \rightarrow \text{MAILINGLIST}(x,y))$ .



# Schema Mapping Languages

- The choice of schema mapping language involves a compromise between **expressive power** and **practical usability**.
  - Allowing arbitrary FO sentences in  $\Sigma$  would make the interesting problems undecidable.
- Two of the most important schema mapping specification languages:
  - **GLAV constraints**. These are **dependencies**  $\forall x (\phi(x) \rightarrow \exists y \psi(x,y))$  where
    - $\phi$  is a conjunction of relational atomic formulas over the **source schema**
    - $\psi$  is a conjunction of relational atomic formulas over the **target schema**.
  - **GAV constraints**: special case of GLAV where the consequent is a single atomic formula (no existential quantification)
  - **LAV constraints**: special case of GLAV where the antecedent is a single atomic formula.

# Semantics of Schema Mappings



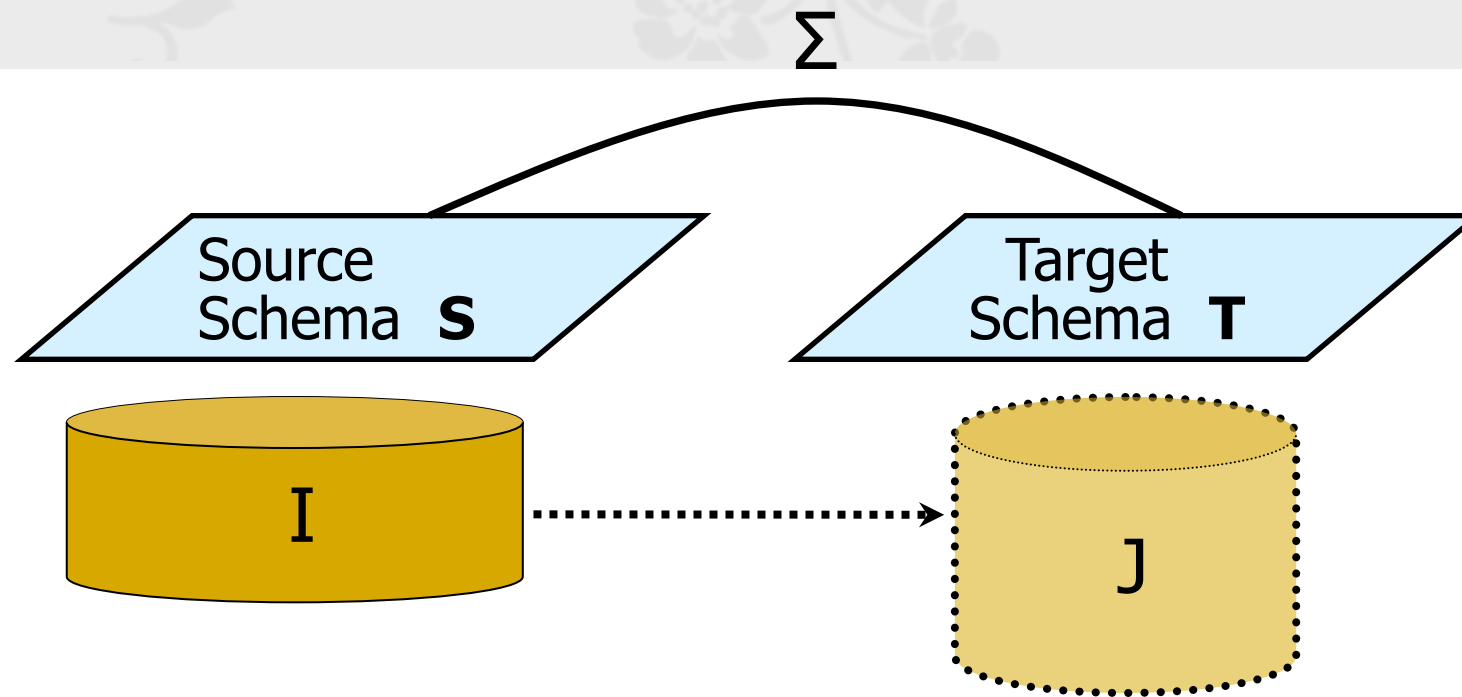
- $M = (S, T, \Sigma)$  schema mapping with  $\Sigma$  a set of GLAV constraints.
- From a **semantic** point of view,  $M$  can be identified with the set of all its **positive data examples**.
  - **Data Example**: A pair  $(I, J)$  where  $I$  is a source instance and  $J$  is a target instance.
  - **Positive Data Example for  $M$** : a data example  $(I, J)$  such that  $(I, J) \models \Sigma$
  - **Negative Data Example for  $M$** : a data example  $(I, J)$  such that  $(I, J) \not\models \Sigma$
  - If  $(I, J)$  is a positive data example for  $M$ , we say that  $J$  is a **solution** for  $I$  w.r.t.  $M$ .

$$\text{Sem}(M) = \{ (I, J): J \text{ is a solution for } I \text{ w.r.t. } M \}$$

# Examples

- Consider the schema mapping  $M = (\{E\}, \{F\}, \Sigma)$ , where
  - $\Sigma = \{ E(x,y) \rightarrow \exists z (F(x,z) \wedge F(z,y)) \}$
- Positive Data Examples (I,J) (i.e., J a solution for I w.r.t. M)
  - $I = \{ E(1,2) \}$        $J = \{ F(1,1), F(1,2) \}$
  - $I = \{ E(1,2) \}$        $J = \{ F(1,xxx), F(xxx,2) \}$
  - $I = \{ E(1,2) \}$        $J = \{ F(1,xxx), F(xxx,2), F(2,3) \}$
- Negative Data Examples (I,J) (i.e., J not a solution for I w.r.t. M)
  - $I = \{ E(1,2) \}$        $J = \{ F(1,3) \}$
  - $I = \{ E(1,2) \}$        $J = \{ F(1,3), F(4,2) \}$

# Data Exchange via a Schema Mapping



- **Data Exchange** via the schema mapping  $M = (S, T, \Sigma)$ :  
Given a **source** instance  $I$ , construct a **solution**  $J$  for  $I$ .
- **Difficulty:**
  - Typically, there are multiple solutions
  - Which one is the “**best**” to materialize?

# Data Exchange & Universal solutions

Fagin, Kolaitis, Miller, Popa (2003):

Identified and studied the concept of a **universal solution** in data exchange.

- A universal solution is a most general solution.
- A universal solution “**represents**” the entire space of solutions.

# Universal Solutions in Data Exchange

Allow two types of values in instances: **constant values** and **(labelled) null values**.

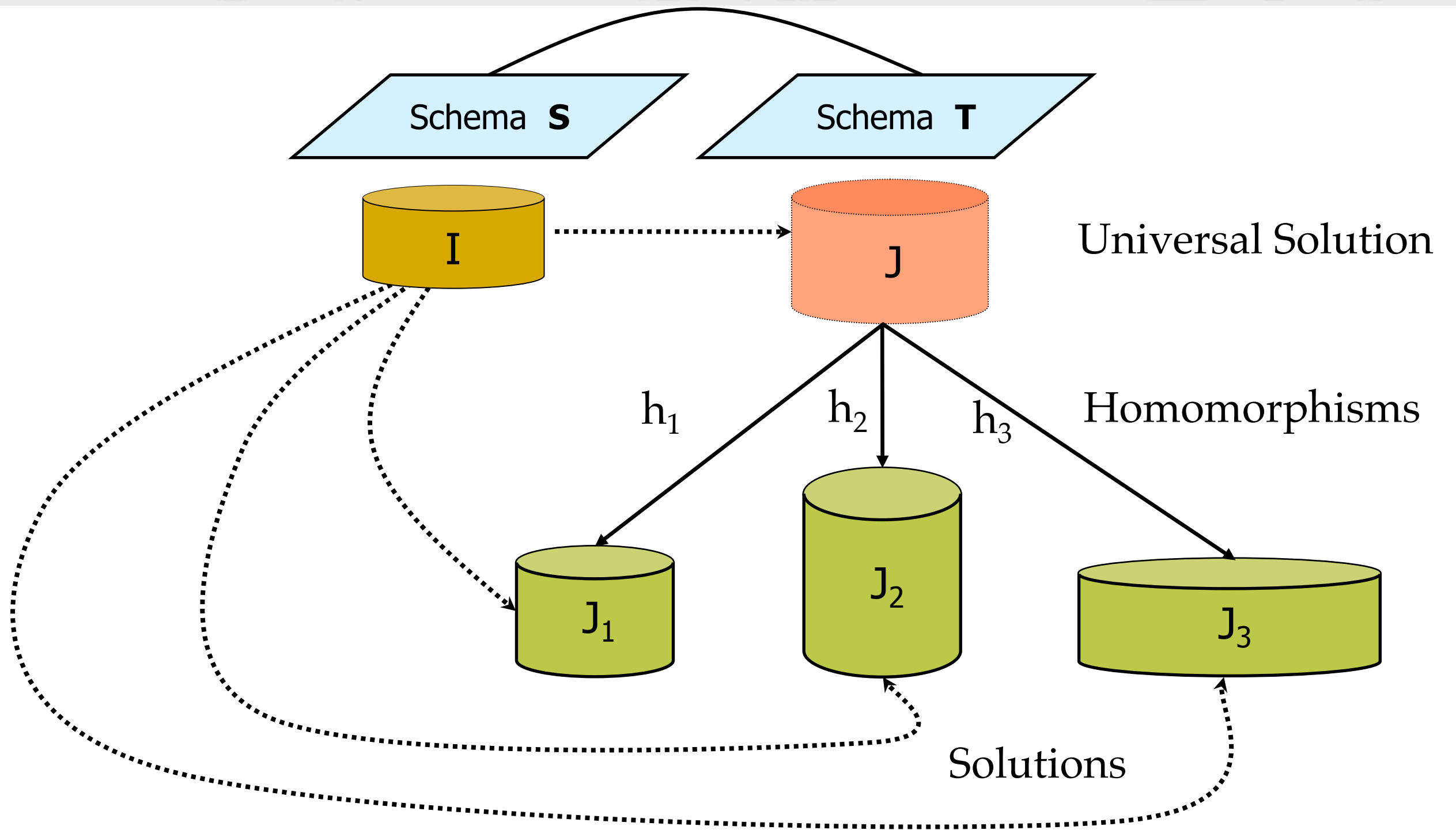
**Definition** (FKMP): A solution  $J$  for  $I$  is **universal** if it has homomorphisms to all other solutions for  $I$ , where the homomorphism may only change the null values.

(thus, a universal solution is a “most general” solution).

Basic result (FKMP): **Universal solutions can be constructed in PTIME (data complexity) using an algorithm called the chase.**

# Universal Solutions in Data Exchange

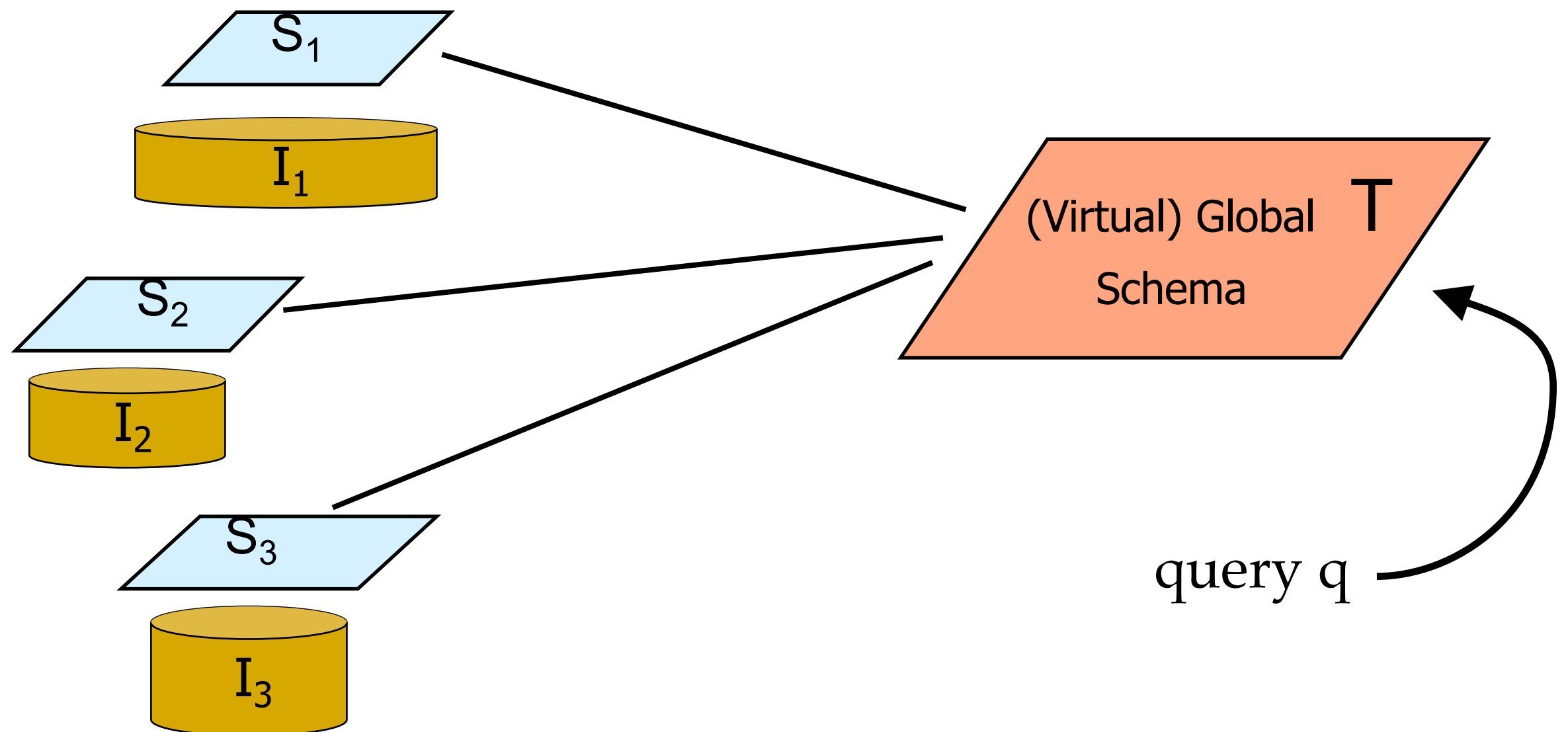
$\Sigma$





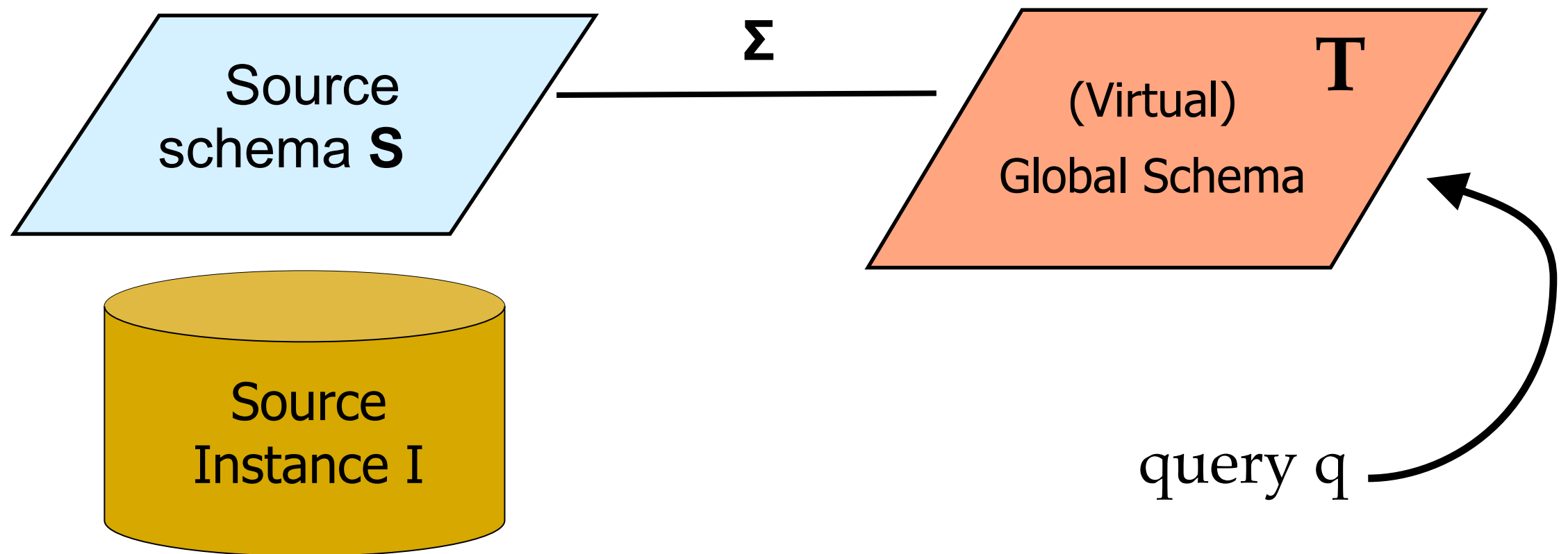
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# Certain answers

- Let  $I$  be a source instance and let  $q$  be a target query (a query over  $T$ ).
- **Definition:**  $\text{certain}_M(q, I) = \bigcap \{q(J) \mid J \text{ solution of } I \text{ w.r.t. } M\}$ 
  - Idea:  $\text{certain}_M(q, I)$  contains **the tuples that belong to the answer of  $q$  in all solutions of  $I$ .**

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  - Idea:  $\text{certain}_M(q, I)$  contains the tuples that belong to the answer of  $q$  in all solutions of  $I$ .
- If the query is a UCQ, then  $\text{certain}_M(q, I)$  can be computed in PTIME.
  - via universal solutions or via query rewriting

# Computing certain answers

- **Theorem** (Fagin, Kolaitis, Miller, Popa 2003):
  - Let  $J$  be a **universal solution** of  $I$  w.r.t.  $M$ . Then for every UCQ  $q$ ,  
 $\text{certain}_M(q, I) = q(J)_{\downarrow}$
- **Theorem** (Abiteboul, Duschka 1998 ++):
  - For every **target UCQ**  $q$ , there is a **source UCQ**  $q'$  such that  $q'(I) = \text{certain}_M(q, I)$ .

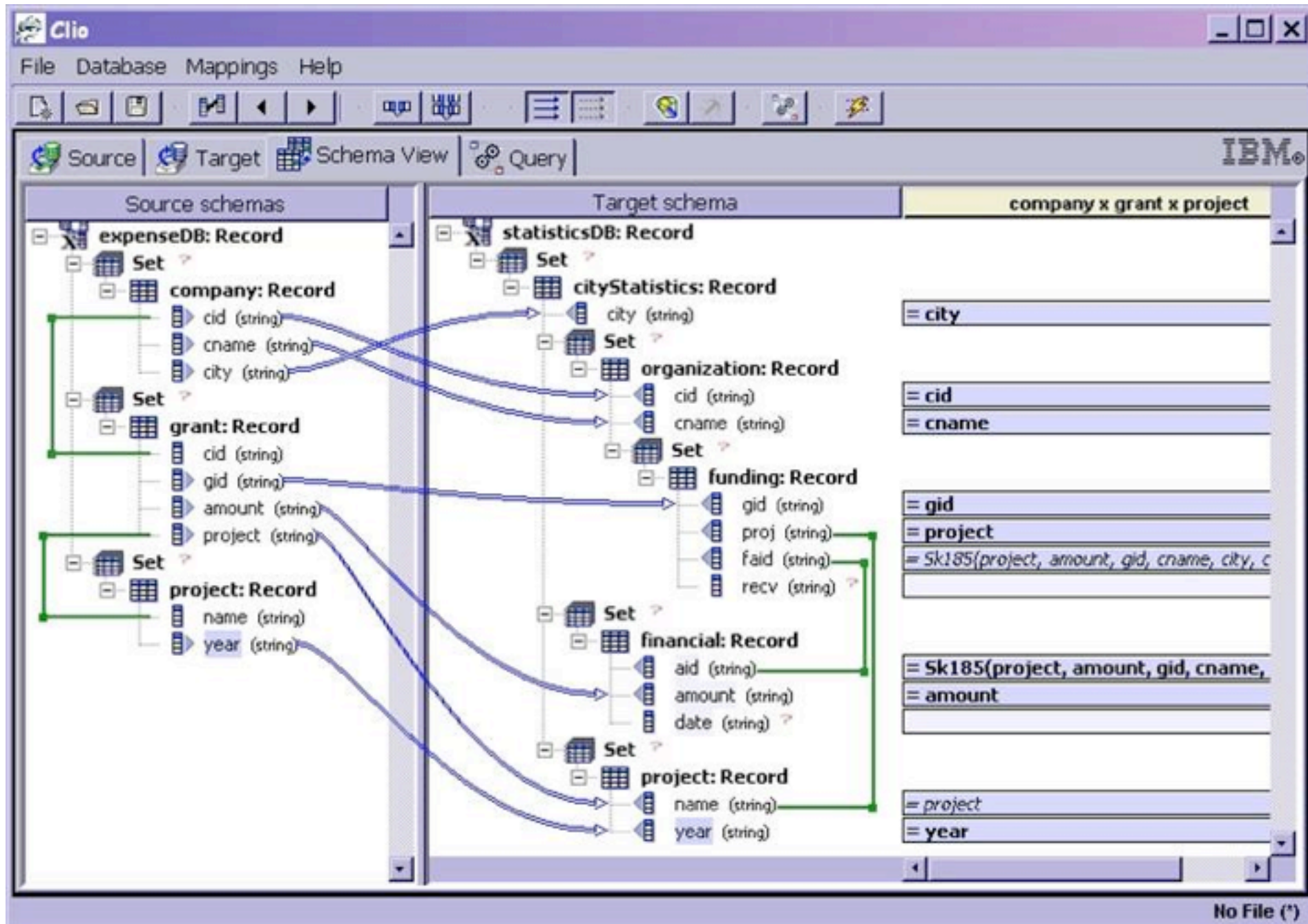
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- **Constructing a schema mapping** is the first step in data exchange and data integration.
- **Common approach** (Clio, HepToX, Microsoft mapping composer):
  - derive a schema mapping from a **schema matching** (a collection of correspondences between attributes of the two schemas).
  - The schema matching itself is obtained semi-automatically using **schema matching techniques** or by interaction with a user.
  - NB: a schema matching does not uniquely determine a schema mapping.







# Data Examples

- Using data examples in schema mapping design:
  - Data examples can be used to **illustrate** a candidate schema mapping
  - **Deriving** schema mappings from examples (learning problem)
- **Labeled data examples**: a data example (I,J) labeled as being
  - **positive** -- meaning that J is a solution for I,
  - **negative** -- meaning that J is not a solution for I, or
  - **universal** -- meaning J is a universal solution for I.

# Uniquely Characterizing Data Examples

- A set  $E$  of labeled data examples **uniquely characterizes** a schema mapping  $M$ , within a class of schema mappings  $C$ , if
  - $M$  fits all data examples in  $E$ .
  - every schema mapping  $M' \in C$  that fits all examples in  $E$  is logically equivalent to  $M$ .

- Let  $M$  be the schema mapping specified by the GLAV constraint  $\forall x,y (E(x,y) \rightarrow F(x,y))$ .
  - This is both a GAV schema mapping and a LAV schema mapping.
  - The **universal data example**  $(I,J)$  with  $I = \{ E(a,b) \}$ ,  $J = \{ F(a,b) \}$  uniquely characterizes  $M$  w.r.t. the class of all LAV constraints.
  - There is a **finite set of universal examples** that uniquely characterizes  $M$  w.r.t. the class of all GAV constraints.
  - There is **no finite set of universal examples** that uniquely characterizes  $M$  w.r.t. the class of all GLAV constraints.

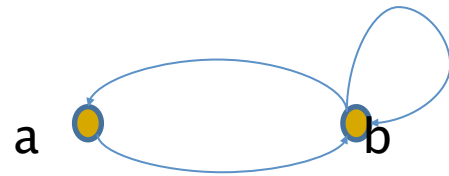
$I_1$



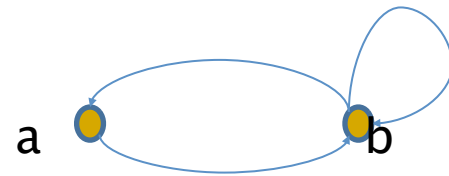
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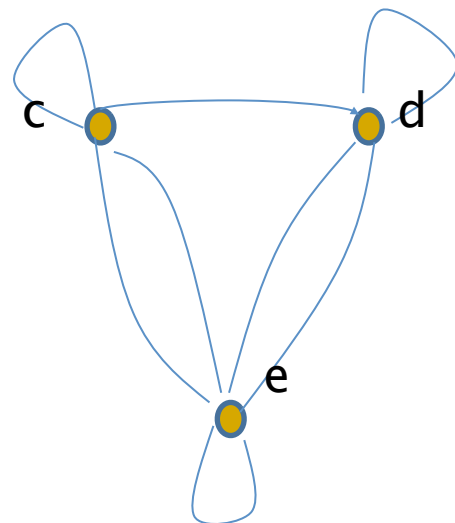
$I_2$



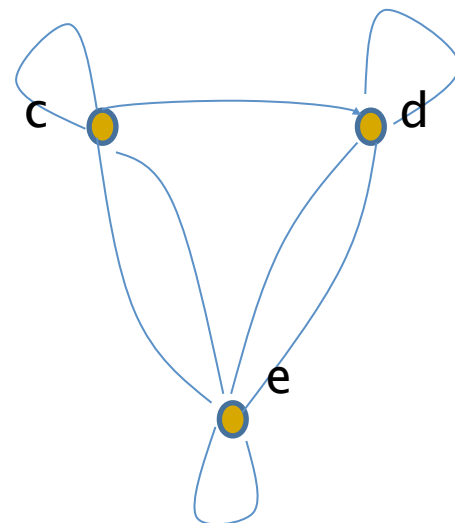
$J_2$



$I_3$



$J_3$



- **Problem:** *which GAV schema mappings are uniquely characterizable*, by a finite set of labeled data examples, within the class of GAV schema mappings?
- The solution was obtained through an intimate connection with dualities in the homomorphism lattice.

# More about homomorphisms

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- We can construct a **partially ordered set** (poset) by taking the homomorphic equivalence classes.
- However, it turns out there is a nicer way to present this poset.

# The Core of a Structure

- **Definition:**

- The **core** of a (finite) structure  $I$ , denoted  $\text{core}(I)$ , is the smallest substructure of  $I$  that is homomorphically equivalent to  $I$ .
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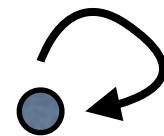
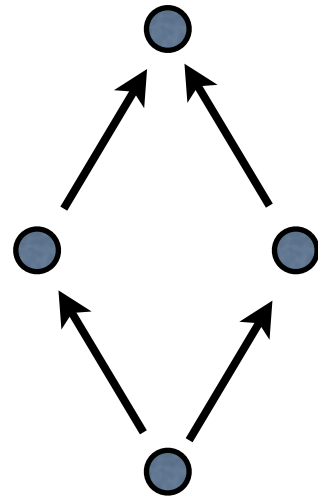
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- **Corollary:**

- if  $I$  and  $J$  are cores and  $I \rightleftharpoons J$  then  $I$  and  $J$  are isomorphic.
- every  $\sim$ -equivalence class has a unique (up to isomorphism) smallest representative which is a core.

# Examples




# The Homomorphism Lattice.

- Let  $\text{CoreStr}[S]$  be the set of all **non-isomorphic (finite) core structures** over schema  $S$ . Then  $(\text{CoreStr}[S], \rightarrow)$  is a poset, and in fact a **lattice**.

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- This lattice has been extensively studied. For example:
  - **Theorem** [Pultr and Trnkova 1980]: Every countable poset is isomorphic to a suborder of  $(\text{CoreStr}[S], \rightarrow)$





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# Simple Duality Pairs

- **Definition:** Let  $D$  and  $F$  be two finite structures
  - $(F, D)$  is a **duality pair** if  $I \rightarrow D = F \dashv I$
  - In other words, **for every structure  $I$ ,  $I \rightarrow D$  if and only if  $F \dashv I$ .**
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- **Example:**
  - For graphs,  $(K_2, K_1)$  is a duality pair

- **Gallai-Hasse-Roy-Vitaver Theorem** (~1965) for directed graphs:
  - Let  $\mathbf{T}_k$  be the linear order with  $k$  elements,  $\mathbf{P}_{k+1}$  be the path with  $k+1$  elements. Then  $(\mathbf{P}_{k+1}, \mathbf{T}_k)$  is a duality pair, since for every directed graphs  $H$ ,  $H \rightarrow \mathbf{T}_k$  if and only if  $\mathbf{P}_{k+1} \nrightarrow H$ .

# Duality Pairs

- **Theorem** (König 1936): A graph is 2-colorable if and only if it contains no cycle of odd length. In symbols,  $\rightarrow\mathbf{K}_2 = \bigcap_{i \geq 0} (\mathbf{C}_{2i+1} \rightarrow)$ .

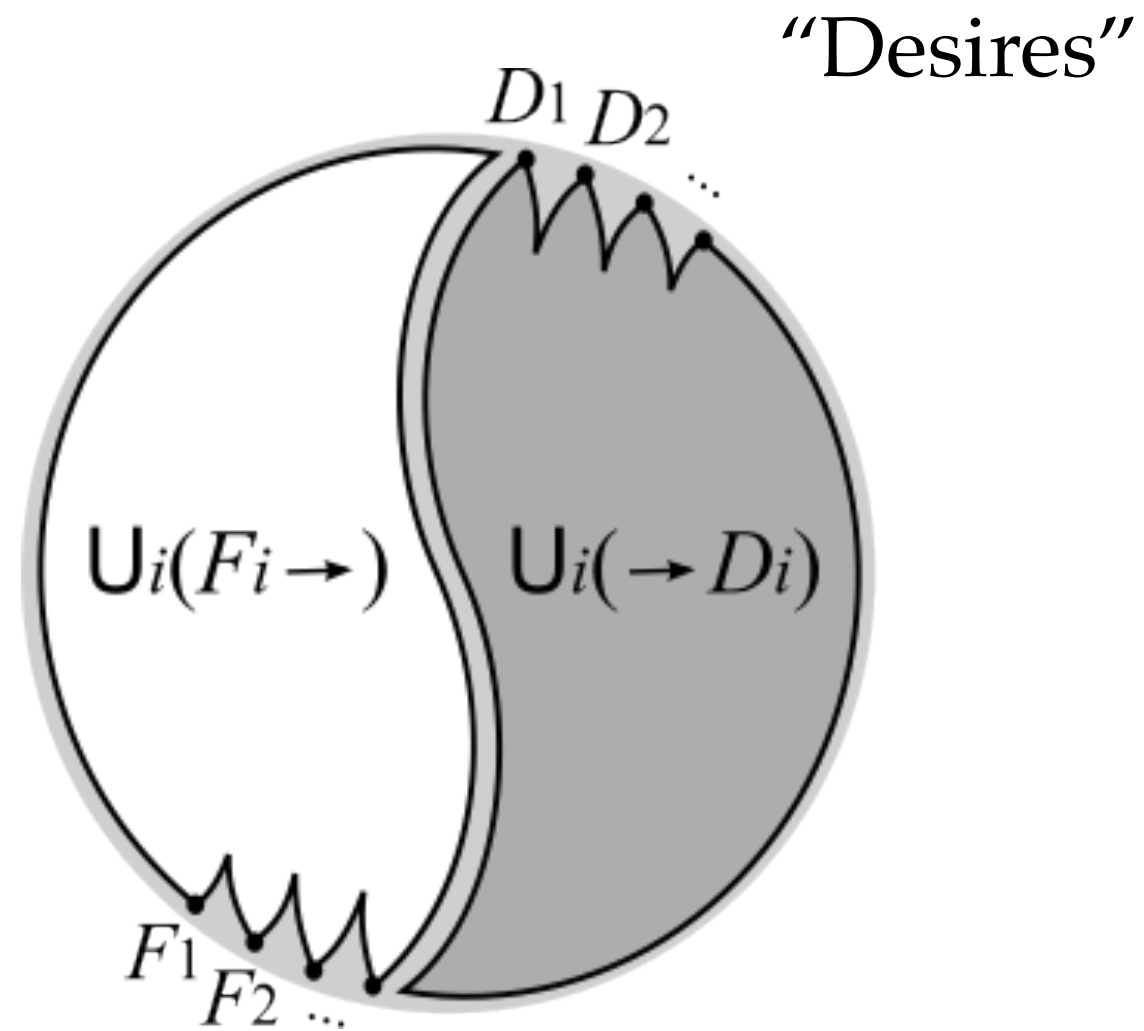
# Duality Pairs

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- **Definition:** Let  $F$  and  $D$  be two sets of structures. We say that  $(F, D)$  is a **duality pair** if  $\bigcup_{D \in D} (\rightarrow D) = \bigcap_{F \in F} (F \nrightarrow)$ .
  - In other words, for every structure  $I$ , tfae:
    - There is a structure  $D$  in  $D$  such that  $I \rightarrow D$ .
    - For every structure  $F$  in  $F$ , we have  $F \nrightarrow I$ .
  - In this case, we say that  $F$  is an **obstruction set** for  $D$ .

**Duality Pair**  $(F,D)$ , where

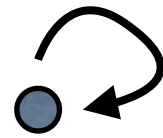
$$F = \{F_1, F_2, \dots\}$$

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"Frustrations"

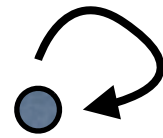
# Example



- Let  $F$  be the one-element cycle.

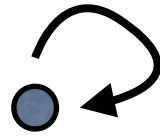


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- Let  $F$  be the one-element cycle.
- **Question:** Is  $\{F\}$  an obstruction set for a finite set of structures?
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- Let  $F$  be the one-element cycle.
- **Question:** Is  $\{F\}$  an obstruction set for a finite set of structures?
  - I.e., is there a duality pair of the form  $(\{F\}, D)$  ?
- No. This has to do with the fact that  $F$  contains a cycle.

# Acyclicity

- The **incidence graph**  $\text{inc}(A)$  of a structure  $A$  is the bipartite graph with
  - nodes: the elements of  $A$  and the atomic facts (e.g.,  $R(a_1, \dots, a_n)$ ) of  $A$
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  - nodes: the elements of  $A$  and the atomic facts (e.g.,  $R(a_1, \dots, a_n)$ ) of  $A$
  - edges between elements and facts in which they occur
- The structure  $A$  is **acyclic** if
  - $\text{Inc}(A)$  is acyclic, and
  - No element occurs twice in the the same fact.

# Characterization of Obstruction Sets

- **Theorem** (Foniok, Nešetřil, and Tardif 2008):
  - Let  $F$  be a finite set of homomorphically incomparable core structures. Tfae:
    - $F$  is an obstruction set of some finite set  $D$  of structures.
    - Each structure in  $F$  is acyclic.
  - Moreover, there is an algorithm that, given such a set  $F$  consisting of acyclic structures, computes a finite set  $D$  of structures such that  $(F, D)$  is a duality pair.

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  - Moreover, there is an algorithm that, given such a set  $F$  consisting of acyclic structures, computes a finite set  $D$  of structures such that  $(F, D)$  is a duality pair.
- In particular, if  $F$  is the one-element cycle, then  $\{F\}$  is not an obstruction set of any finite set of structures.

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- A structure with constant symbols is **c-acyclic** if
  - Every cycle in  $\text{Inc}(A)$  contains an element named by a constant symbol, and
  - Only elements named by constant symbols may occur twice in the same fact.

# Back to Schema Mappings

- The **canonical structure** of a GAV constraint

$$\forall \mathbf{x} (\varphi_1(\mathbf{x}) \wedge \dots \wedge \varphi_\kappa(\mathbf{x}) \rightarrow R(x_{i1}, \dots, x_{im}))$$

is the structure with

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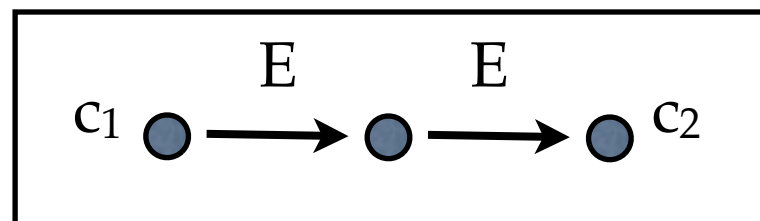
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- **Theorem:** Let  $M = (S, T, \Sigma)$  be a GAV schema mapping. Then:
  - $M$  is uniquely characterizable within the class of all GAV constraints.
  - For every target relation symbol  $R$ , the set of the canonical structures of the GAV constraints in  $\Sigma$  with  $R$  as their consequent is the obstruction set of some finite set  $D$  of structures.

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- **Corollary:** testing unique characterizability is NP-complete, and one can effectively construct a uniquely characterizing finite set of data examples if it exists.

# Summary

- **Schema mappings**: a fundamental building block in the study of data-interoperability problems.
- **Homomorphism dualities**: a powerful tool from graph theory (with many applications in constraint satisfaction as well)



# Main References

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