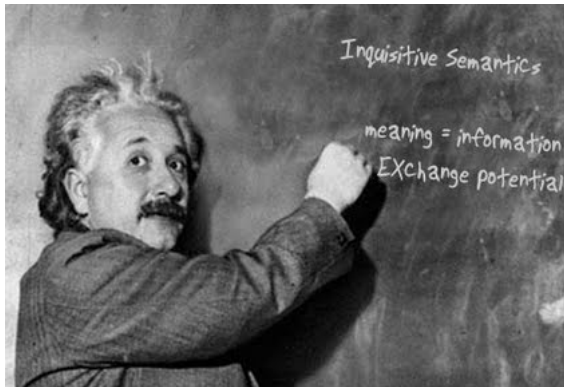


# Inquisitive epistemic logic

Ivano Ciardelli and Floris Roelofsen



[www.illc.uva.nl/inquisitivesemantics](http://www.illc.uva.nl/inquisitivesemantics)

# Motivation

## One of the primary applications of logic

- Modeling **information exchange** through communication between a number of agents

## Epistemic logic

- Allows us to model what the **facts** are in a given situation and what all the agents **know** about these facts and about each other
- Allows us to capture the **informative content** of sentences

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What is missing from this picture?

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Moreover:

- Agents do not only use **declarative** sentences to **provide information**
- They also use **interrogative** sentences to **request information**
- To capture the meaning of both declaratives and interrogatives, we need to be able to capture both **informative** and **inquisitive** content

# Motivation

## Summing up

- Epistemic models need to be enriched with **issues**
- Our logical language needs to be enriched with **interrogatives**
- Our notion of meaning needs to be enriched with **inquisitive content**

# Epistemic logic

## Epistemic models

An epistemic model is a triple  $M = \langle \mathcal{W}, V, \{\sigma_a \mid a \in A\} \rangle$ , where:

- $\mathcal{W}$  is a set, whose elements are called **possible worlds**
- $V : \mathcal{W} \rightarrow \wp(\mathcal{P})$  is called the **valuation function**
- $\sigma_a : \mathcal{W} \rightarrow \wp(\mathcal{W})$  is called the **epistemic map** of agent  $a$

For any world  $w$ ,  $\sigma_a(w)$  is an information state satisfying:

- **Factivity:**  $w \in \sigma_a(w)$
- **Introspection:** for all  $v \in \sigma_a(w)$ :  $\sigma_a(v) = \sigma_a(w)$

# Epistemic logic

- The language  $\mathcal{L}_{EL}$  is a propositional language enriched with **knowledge modalities**  $K_a$ .
- The semantics is given by a recursive definition of **truth w.r.t. a world**.
- The **proposition** expressed by a sentence  $\varphi$  in a model  $M$  is the set of worlds where the sentence is true:

$$|\varphi|_M = \{w \in \mathcal{W} \mid \langle M, w \rangle \models \varphi\}$$

- An agent **knows**  $\varphi$  iff  $\varphi$  is **true in all worlds** in the agent's info state:

$$\langle M, w \rangle \models K_a \varphi \iff \text{for all } v \in \sigma_a(w), \langle M, v \rangle \models \varphi$$

- Notice that  $K_a$  expresses a **relation between two sets of worlds**:  $a$ 's information state and the proposition expressed by  $\varphi$

$$\langle M, w \rangle \models K_a \varphi \iff \sigma_a(w) \subseteq |\varphi|_M$$



# Modeling issues

## How to model issues?

- We want to equip agents not only with an information state, but also with an **inquisitive state** that describes the **issues** that they entertain.
- But how to model issues?

## Key idea

- Model an issue as a **set of information states**
- Namely, those information states in which the issue is **resolved**

## Example

- The issue **'who won the elections'** is modeled as the set of information states in which it is known who won the elections

## Two constraints

Does any set of information states properly represent an issue?

– No, there are **two constraints**.

### Issues are downward closed

- If an issue  $I$  is resolved in an information state  $s$ , then it will also be resolved in any **more informed** information state  $t \subset s$
- So issues are **downward closed**: for any  $s \in I$ , if  $t \subset s$ , then  $t \in I$

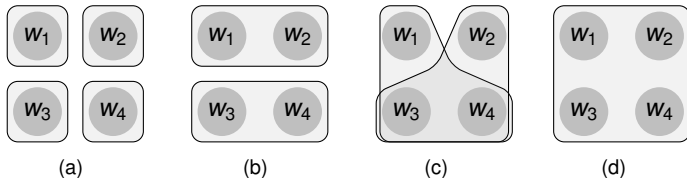
### Issues always contain the inconsistent information state

- The **inconsistent information state** is the empty state,  $\emptyset$
- It is standardly assumed that in  $\emptyset$  **everything is known**
- Similarly, we assume that in  $\emptyset$  **every issue is resolved**
- This means that an issue always contains  $\emptyset$
- Equivalently, issues are always **non-empty** sets of info states

# Definition of issues and examples

## Issues

- An issue is a **non-empty, downward closed** set of information states.
- We say that an issue  $I$  is an issue **over a state  $s$**  in case  $s = \bigcup I$ .
- The set of all issues is denoted by  $\Pi$ .



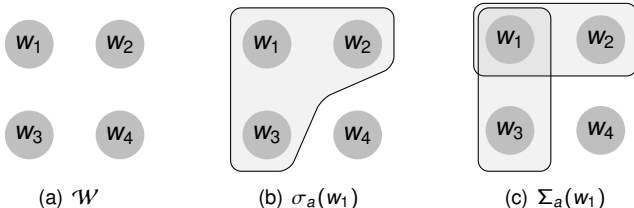
Four issues over the state  $\{w_1, w_2, w_3, w_4\}$ .

## Inquisitive epistemic models

A model should now provide, for every possible world  $w$ :

1. a specification  $V(w)$  of the basic facts;
2. a specification  $\sigma_a(w)$  of the agents' information;
3. a specification  $\Sigma_a(w)$  of the agents' issues.

where  $\Sigma_a(w)$  should be an issue over  $\sigma_a(w)$ .



# Inquisitive epistemic models

## Can we simplify?

- Do we need to specify all three components explicitly?
- Recall that  $\Sigma_a(w)$  should be an issue over  $\sigma_a(w)$ .
- But this means that  $\sigma_a(w) = \bigcup \Sigma_a(w)$ .
- So if we know  $\Sigma_a(w)$ , we also know  $\sigma_a(w)$ .
- This means that  $\sigma_a(w)$  does not need to be specified explicitly.
- $\Sigma_a(w)$  **already encodes both information and issues.**

# Definition of inquisitive epistemic models

## Inquisitive epistemic models

An **inquisitive epistemic model** is a triple  $M = \langle \mathcal{W}, V, \{\Sigma_a \mid a \in A\} \rangle$ , where:

- $\mathcal{W}$  is a set, whose elements are called **possible worlds**
- $V : \mathcal{W} \rightarrow \wp(\mathcal{P})$  is called the **valuation function**
- $\Sigma_a : \mathcal{W} \rightarrow \Pi$  called the **inquisitive state map** of agent  $a$

For any  $w$ ,  $\Sigma_a(w)$  is an **issue** satisfying:

- **Factivity**:  $w \in \sigma_a(w)$
- **Introspection**: for all  $v \in \sigma_a(w)$ :  $\Sigma_a(v) = \Sigma_a(w)$

where for any  $w$ ,  $\sigma_a(w) := \bigcup \Sigma_a(w)$  is the **information state** of  $a$  at  $w$ .

# Enriching the logical language

- We have enriched epistemic models with **issues**
- The next step is to enrich our logical language with **interrogatives**

## Syntax

- **Declaratives:**  $\alpha ::= p \mid \perp \mid \alpha \wedge \alpha \mid \alpha \rightarrow \alpha \mid K_a \varphi \mid E_a \varphi$
- **Interrogatives:**  $\mu ::= ?\{\alpha_1, \dots, \alpha_n\} \mid \mu \wedge \mu \mid \alpha \rightarrow \mu$

## Abbreviations

- $\neg \alpha := \alpha \rightarrow \perp$
- $\alpha \vee \beta := \neg(\neg \alpha \wedge \neg \beta)$
- $? \alpha := ?\{\alpha, \neg \alpha\}$

## Examples

- $K_a ? K_b ? p$
- $p \rightarrow ? K_b p$
- $K_a ? p \rightarrow ? K_b K_a ? p$

## From truth conditions to support conditions

- We have enriched our **models** and our **logical language**.
- Next, we need to enrich the **semantics**.
- In EL, the semantics specifies **truth conditions** wrt worlds.
- For **interrogatives**, this does not work.
- Rather, we should give **resolution condition** wrt information states.
- We could give a simultaneous definition of truth and resolution.
- But there is a better solution: we will **lift** the interpretation of declarative sentences from worlds to information states as well.
- We define a **support** relation,  $s \models \varphi$ , where intuitively:
  - $s \models \alpha$  amounts to:  $\alpha$  is **established**, or **true everywhere** in  $s$ ;
  - $s \models \mu$  amounts to:  $\mu$  is **resolved** in  $s$ .



# Support conditions

## Definition (Support)

1.  $\langle M, s \rangle \models p \iff p \in V(w)$  for all worlds  $w \in s$
2.  $\langle M, s \rangle \models \perp \iff s = \emptyset$
3.  $\langle M, s \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, s \rangle \models \alpha_i$  for some  $i \in \{1, \dots, n\}$
4.  $\langle M, s \rangle \models \varphi \wedge \psi \iff \langle M, s \rangle \models \varphi$  and  $\langle M, s \rangle \models \psi$
5.  $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff$  for any  $t \subseteq s$ , if  $\langle M, t \rangle \models \alpha$  then  $\langle M, t \rangle \models \varphi$
6.  $\langle M, s \rangle \models K_a \varphi \iff$  for any  $w \in s$ ,  $\langle M, \sigma_a(w) \rangle \models \varphi$
7.  $\langle M, s \rangle \models E_a \varphi \iff$  for any  $w \in s$  and for any  $t \in \Sigma_a(w)$ ,  $\langle M, t \rangle \models \varphi$

## Fact (Persistence, empty state)

- **Persistence:** if  $\langle M, s \rangle \models \varphi$  and  $t \subseteq s$  then  $\langle M, t \rangle \models \varphi$ .
- **Empty state:**  $\langle M, \emptyset \rangle \models \varphi$  for any sentence  $\varphi$ .

# Deriving truth conditions from support conditions

## Definition (Truth)

$\varphi$  is defined to be **true at a world  $w$** ,  $\langle M, w \rangle \models \varphi$ , just in case  $\langle M, \{w\} \rangle \models \varphi$

## Fact (Truth conditions)

1.  $\langle M, w \rangle \models p \iff p \in V(w)$
2.  $\langle M, w \rangle \not\models \perp$
3.  $\langle M, w \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, w \rangle \models \alpha_i$  for some index  $1 \leq i \leq n$
4.  $\langle M, w \rangle \models \varphi \wedge \psi \iff \langle M, w \rangle \models \varphi$  and  $\langle M, w \rangle \models \psi$
5.  $\langle M, w \rangle \models \alpha \rightarrow \varphi \iff \langle M, w \rangle \not\models \alpha$  or  $\langle M, w \rangle \models \varphi$
6.  $\langle M, w \rangle \models \neg \alpha \iff \langle M, w \rangle \not\models \alpha$
7.  $\langle M, w \rangle \models \alpha \vee \beta \iff \langle M, w \rangle \models \alpha$  or  $\langle M, w \rangle \models \beta$
8.  $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$
9.  $\langle M, w \rangle \models E_a \varphi \iff$  for any  $t \in \Sigma_a(w)$ ,  $\langle M, t \rangle \models \varphi$

# Inquisitive epistemic logic

## Definition (Proposition)

The **proposition** expressed by  $\varphi$  in  $M$  is the set  $[\varphi]_M = \{s \mid \langle M, s \rangle \models \varphi\}$ .

## Definition (Truth-set)

The **truth-set** of  $\varphi$  in  $M$  is the set of worlds  $|\varphi|_M = \{w \mid \langle M, w \rangle \models \varphi\}$ .

## Fact (Truth-sets and propositions)

For any  $\varphi$  and any  $M$ :

$$|\varphi|_M = \bigcup [\varphi]_M$$

# Truth for declaratives and interrogatives

## Truth for declaratives

- The semantics of a declarative is **determined by its truth conditions**:

$$\langle M, s \rangle \models \alpha \iff \text{for all } w \in s, \langle M, w \rangle \models \alpha$$

- Thus, for any declarative  $\alpha$  we have  $[\alpha]_M = \wp(|\alpha|_M)$ .

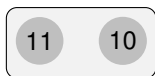
## Truth for interrogatives

- $\langle M, w \rangle \models \mu$  just in case  $w \in s$  for some state  $s$  that supports  $\mu$ .
- That is,  $\mu$  is true at a world just in case it can be **truthfully resolved**.

## Back to the support conditions: basic cases

### Definition

1.  $\langle M, s \rangle \models p \iff p \in V(w)$  for all worlds  $w \in s$
2.  $\langle M, s \rangle \models \perp \iff s = \emptyset$
3.  $\langle M, s \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, s \rangle \models \alpha_i$  for some index  $1 \leq i \leq n$
4.  $\langle M, s \rangle \models \varphi \wedge \psi \iff \langle M, s \rangle \models \varphi$  and  $\langle M, s \rangle \models \psi$



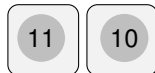
[p]



[?p]



[p ∧ q]



[?p ∧ ?q]

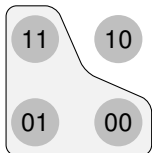
# Support for implication

## Definition

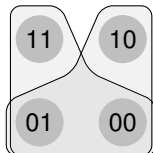
- $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff$  for any  $t \subseteq s$ , if  $\langle M, t \rangle \models \alpha$  then  $\langle M, t \rangle \models \varphi$

## Fact

- $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff \langle M, s \cap |\alpha|_M \rangle \models \varphi$



$[p \rightarrow q]$



$[p \rightarrow ?q]$

# The knowledge modality

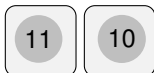
## General definition

- $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$

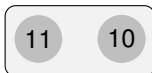
## Knowing a declarative

For a declarative  $\alpha$ , support amounts to truth at each world, so in this case we recover the **standard clause**:

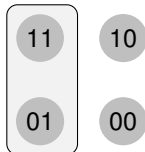
- $\langle M, w \rangle \models K_a \alpha \iff$  for all  $v \in \sigma_a(w)$ ,  $\langle M, v \rangle \models \alpha$



$\Sigma_a(w_{11})$



$[p]$



$[q]$

# The knowledge modality

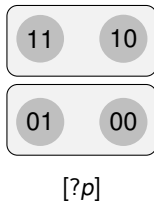
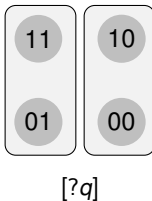
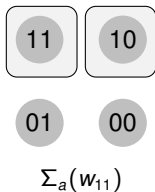
## General definition

- $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$

## Knowing an interrogative

- $\langle M, w \rangle \models K_a \mu \iff \sigma_a(w)$  resolves  $\mu$ .

Example:  $K_a ?p \equiv K_a p \vee K_a \neg p$





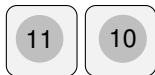
# The entertain modality

## General definition

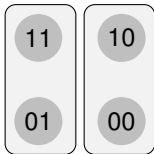
- $\langle M, w \rangle \models E_a \varphi \iff$  for any  $t \in \Sigma_a(w)$ ,  $\langle M, t \rangle \models \varphi$

## Declaratives and interrogatives

- For a declarative  $\alpha$ ,  $E_a \alpha \equiv K_a \alpha$ .
- For an interrogative  $\mu$ ,  $E_a \mu$  is true just in case whenever the internal issues of  $a$  are resolved,  $\mu$  is also resolved.
- Intuitively,  $E_a \mu$  is true iff every state that  $a$  **wants to reach** is one that **supports**  $\mu$



$\Sigma_a(w_{11})$



$[?q]$



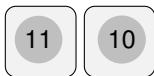
$[?p]$

## Wondering = entertaining without knowing

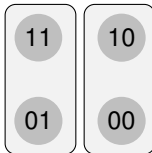
- The truth conditions for  $E_a\mu$  are close to those for ***a* wonders about  $\mu$**
- But **one exception**: if *a* already **knows** how to resolve  $\mu$ ,  $E_a\mu$  is true but we would not say that *a* wonders about  $\mu$ .
- So to **wonder** about  $\mu$  is to **entertain  $\mu$  without knowing  $\mu$** .

$$W_a\varphi := E_a\varphi \wedge \neg K_a\varphi$$

- With this definition,  $W_a\varphi$  is a **contradiction** if  $\varphi$  is a **declarative**.



$\Sigma_a(w_{11})$



[?q]



[?p]

# Comparison with basic EL: the modalities

- Our operators  $K_a$  and  $E_a$  are **not Kripke modalities**.
- However, like Kripke modalities in epistemic logic, they express a **relation between two semantic objects of the same type**:
  - a **state** associated with the world
  - the **proposition** expressed by the prejaçant
- In EL, states  $\sigma_a(w)$  and propositions  $|\varphi|_M$  are simple sets of worlds:
  - $\langle M, w \rangle \models K_a \varphi \iff \sigma_a(w) \subseteq |\varphi|_M$
- In IEL, both states  $\Sigma_a(w)$  and propositions  $[\varphi]_M$  are more structured, namely, they are issues.
  - $\langle M, w \rangle \models K_a \varphi \iff \bigcup \Sigma_a(w) \in [\varphi]_M$
  - $\langle M, w \rangle \models E_a \varphi \iff \Sigma_a(w) \subseteq [\varphi]_M$

## Comparison with basic EL: the logic

### IEL is a conservative extension of EL

- Any IE-model  $M$  induces a standard epistemic model  $M^e$ , obtained simply by **forgetting the issues** for each agent.
- For any IE-model  $M$  and formula  $\alpha \in \mathcal{L}_{EL}$ ,

$$M, w \models \alpha \iff M^e, w \models \alpha$$

# Conclusion

- Our goal was to develop a **logic** to model **information exchange**, seen as a process of raising and resolving issues.
- We enriched epistemic models with a description of agents' **issues**.
- We enriched the logical language with **interrogatives**.
- We formulated a uniform, **support-based semantics** for declaratives and interrogatives.
- The result is a **conservative extension** of standard epistemic logic.
- $K_a$  was generalized to describe which issues agents can **resolve**.
- New modalities  $E_a$  and  $W_a$  we introduced to describe which issues agents **entertain** and **wonder about**.

GRACIAS  
ARIGATO  
SHUKURIA  
JUSPAXAR  
DANKSCHEEN  
TASHAKKUR ATU  
SUKSAMA  
EKHMET  
GRAZIE  
MEHRBANI  
PALDIES  
BOLZIN  
MERCY  
THANK  
YOU  
BIYAN  
SHUKRIA  
TINGKI