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Expressive Voting: Modelling a Voter's Decision to Vote

Workshop on Logical Models of Group Decision Making

Dominik Klein

August 14, 2013

Dominik Klein: Expressive Voting, Workshop on Logical Models of Group Decision Making

Content of the talk

- Relationship between Voting Theory and Rational Choice Theory
- Two explanatory schemes for voting: expressive vs. instrumental.
- Expressive voting-based analysis of voting systems
- Discuss a current approach by Gilboa et al. and present an alternative

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Voting is a intentional, deliberative act.

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 Voting decision is influenced by various kinds of considerations: future well-being (self/others), party alignment, general convictions...

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Classic Rational Choice -theoretic perspective: Voter can be described as maximizing some (complex) utility function

- He strives to bring about the **output** that maximizes his utility
- Full behaviourism: can learn about utility function through revealed preferences

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Slogan: Utility is the Utility of the outcome

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- Slogan: Utility is the Utility of the outcome
- Voting as an instrument to influence outcome Instrumental Account of Voting
- Strategic Considerations prominently studied in voting theory: Gibbard Sattertwaithe

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Why do people vote?

Prominent disanalogy to Economic Reasoning:

- In economic interactions: expressing the preference ensures the outcome (buying a car...)
- ▶ Revealed preference deals with outcomes only. (Mostly...)

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 $L \leq h \cdot R + E$

Where E is the utility of the expressive act

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▶ See Brennan/Lomasky (1993) for a deeper discussion

G.Brennan and L.Lomasky. Democracy & Decision. CUP 1993.

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 Voting behaviour reflects a superposition of both kind of motivations

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- Differential data showing that risk of being decisive changes voting behaviour

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- Voting behaviour reflects a superposition of both kind of motivations
- Differential data showing that risk of being decisive changes voting behaviour (french parliamentary election)
- Study both kinds of motivations seperately to understand voting behaviour

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Question:

Does the expressive vs. instrumental debate influence the discussion of voting systems?

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- IIA, Condorcet,...
- Manipulability
- Clear outcomes

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Discuss voting systems in an expressive framework

- Majority voting: Voter votes for a single candidate
- Approval voting: Voter picks an arbitrary subset of candidates
- Majority Judgment/Graded voting: Voter gives grades to candidates (1-10)

We

- present a formal Framework of Gilboa, Aragones and Weiss (2011) to compare approval and majority voting under expressive voting
- discuss this approach
- present an alternative framework

E.Aragones, I.Gilboa and A. Weiss. *Making statements and Approval Voting*. Voting Theory and Decision, 71:461-472, 2011.

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The framework

- Political debate consists of *n*-topics T₁...T_n.
- ▶ Stance on a topic is a number in [−1 : 1]
- every party \vec{p} is a vector in $\{-1; 1\}^n$
- every voter \vec{v} is a vector in $[-1,1]^n$

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Majority Vote

Let \mathcal{P} be the set of all parties.

In **majority vote** each voter v votes for *the closest* party. That is he minimizes

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- \mathcal{P} is the set of parties
- dist is the euclidean distance
- ▶ The party with the most votes gets elected.

Approval voting

Let \mathcal{P} be the set of all parties.

Approval voter: The position of a subset $I \subseteq \mathcal{P}$ is taken to be the straight average of its components:

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in **approval voting** each voter \vec{v} approves of the coalition whose position is closest to his own:

 $\min_{I \subseteq \mathcal{P}} dist(pos(I), \vec{v})$

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Results of Aragones, Gilboa and Weiss

General Question: How much is required to motivate all voters to participate

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Results of Aragones, Gilboa and Weiss

General Question: How much is required to motivate all voters to participate

- In majority voting, the number of parties required to guarantee that everybody votes is exponential in the number of issues
- In approval voting 4 parties are enough to guarantee that everyone votes
- some stochastic results for number parties = number issues

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Our critique

Implicit coalition making highly improbable
Equal weight assumption
Discourse is shaped by single winner intuitions

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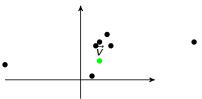
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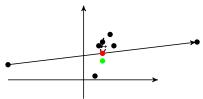


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Approval voting: Evaluate parties individually

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If a party \vec{p} implements its policy the utility \vec{v} gets on T_i is:

 $|v_i|$ if $v_i \cdot p_i > 0$ $-|v_i|$ else

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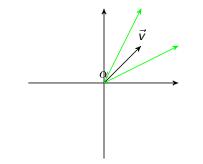
 \vec{v} approves of p if

$$\sum p_i v_i \geq k \cdot \sum |v_i|$$

For some threshold $k \in (-1; 1]$. (Typically $k \ge 0$)

Geometric Interpretation

The algebraic definition is equivalent to: Accept a party p if it is within an α -cone round \vec{v}



 α depends upon n, k and \vec{p} . Holds $\arccos(k) \le \alpha \le \arccos(\frac{k}{\sqrt{n}})$

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Justification of cone

- Reasoning about individual alternatives: Individual Criterion
- v gives the relative weights of the different positions
- Cone represents level of satisfaction

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Remark

Approval and Majority vote are compatible in the following sense: For any voter \vec{v} and every party \vec{p} holds:

p minimizes
$$dist(p, v)$$
 iff *p* maximizes $\frac{\vec{p}\vec{v}}{\sum |v_i|}$

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Results

For k = 0, i.e. $\alpha = 90^{\circ}$ we have exactly the same results as in Gilboa et al:

- ▶ 4 (resp 2*n*) parties are enough to make everyone vote
- ► For fixed \vec{v} and randomly chosen *n* parties: $\lim_{n\to\infty} P(\exists \vec{p} | \vec{v} \text{ approves of } \vec{p}) = 1$
- ▶ For *k* > 0 exponentially many parties needed.

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Plausible/in line with reasoning

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- Plausible/in line with reasoning \checkmark
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- Easily extendible to grade voting

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- Focus of public attention changes over time
- Focus change has bigger impact on electoral outcome than opinion change
- Parties attempt to guide public focus to their areas of expertise
- Relative weights are not intrinsic
- Focus modelled by relative weights

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General Question: Which focus change should a party induce to maximize their electoral outcome?

Wrap up

- Interplay between rational choiche theory and voting theory: Algebraic models as input
- Expressive voting changes discussion of voting systems
- Semantics for approval voting in line with natural intuitions
- Dynamic Aspects: Focus Change

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Thank You

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