

# Conversions between *MCFG* and *D*

## Logical Characterizations of the Mildly Context-Sensitive Languages

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# Introduction

- Natural language exhibits patterns that are provably beyond the context-free boundary,
- Research into formal grammar resulted in the definition of the so called Mildly Context Sensitive Languages,
- Different extensions of Context Free formalisms have been proposed,
- We show that three of these systems are 'equivalent'.

# Outline

- 1 Setting the Stage
  - Formal Grammar
  - Context Free Grammar vs. Lambek Calculus
  - Beyond Context Free
- 2 MCFGs
  - Grammar
  - Generative Capacity
  - Lexicalization of  $MCFG_{wn}$
- 3 Displacement Calculus
  - Grammars
  - Toy Grammars
- 4 Characterizations
  - $L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)
  - $L(MCFG_{wn}) = L(1-D_J)$



# Formal Grammar

## Definition

A Formal Grammar is a quadruple  $(N, \Sigma, R, S)$  where:

- $N$  is a finite set of non-terminal symbols,
- $\Sigma$  is a finite set of terminal symbols,
- $R$  is a set of rewrite rules of the form  $(N \cup \Sigma)^* N (N \cup \Sigma)^* \rightarrow (N \cup \Sigma)^*$ ,
- $S \in N$  is a distinguished start symbol.

## Definition

Let  $G = (N, \Sigma, R, S)$  be a formal grammar. The string language of  $G$ , denoted  $\mathcal{L}(G)$ , is defined as follows:

$$\mathcal{L}(G) := \{w \in \Sigma^* \mid S \rightarrow^* w\}$$

## Definition

Let  $G$  and  $G'$  be Formal Grammars.  $G$  and  $G'$  are said to be (weakly) equivalent iff  $\mathcal{L}(G) = \mathcal{L}(G')$ .



# The Chomsky Hierarchy

Putting different restrictions on the rules results in different language classes, with accompanying complexity results:



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Language class	Restriction	Automaton
Regular	$A \rightarrow a; A \rightarrow aB$	FSA
Context Free	$A \rightarrow \gamma$	PDA
Context Sensitive	$\alpha A \beta \rightarrow \alpha \gamma \beta, \gamma \neq \epsilon$	LBA
Recursively Enumerable	$\alpha \rightarrow \beta$	TM

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$$RL \subset CFL \subset CSL \subset REL$$





Example of a Context Free Grammar for palindromes over three symbols:

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow cSc$$

$$S \rightarrow \epsilon$$



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Example derivation:

$$S \rightarrow aSa \rightarrow acSca \rightarrow acbSbca \rightarrow acbbca$$



Next to generative grammar, another type of grammar formalism was developed: Categorical Grammar.

- A categorial grammar consists of a lexicon and a proof system,
- The lexicon assigns types to elements of the alphabet,
- The proof system governs grammaticality.
- Prototypical example: the Lambek Calculus (Logic of Concatenation)



## Definition

Let  $T$  be a set of atomic types. Then the set  $T^*$  of categorial types is defined as follows:

- If  $A \in T$ , then  $A \in T^*$ ,
- If  $A, B \in T^*$ , then  $A \bullet B, B/A, A \setminus B \in T^*$ .

## Definition

A Lambek grammar is a triple  $(\Sigma, \delta, S)$  where:

- $\Sigma$  is a set of words,
- $\delta \subseteq \Sigma \times T^*$  is a type assignment relation,
- $S \in T^*$  is a distinguished start symbol.



# Proof Theory of L

$$\frac{\delta(\alpha) = A}{\alpha : A} \text{Lex.}$$

$$\frac{}{0 : I} \text{Ax.I}$$

$$\frac{}{1 : J} \text{Ax.J}$$

$$\frac{\alpha : A \quad \beta : B}{\alpha + \beta : A \bullet B} \text{I} \bullet \quad \frac{\alpha : A \quad \beta : B \quad \Delta \langle \alpha + \beta \rangle : C}{\Delta \langle \gamma \rangle : C} \text{E} \bullet$$

$$\frac{\alpha : A}{\alpha + \gamma : B} \text{I} \setminus$$

$$\frac{\alpha : A \quad \gamma : A \setminus B}{\alpha + \gamma : B} \text{E} \setminus$$

$$\frac{\alpha : A}{\gamma + \alpha : B} \text{I} / \quad \frac{\gamma : B/A \quad \alpha : A}{\gamma + \alpha : B} \text{E} /$$



A Lambek grammar for (non-empty) palindromes:

$$\begin{array}{lll}
 a : A & b : B & c : C \\
 a : S/A & b : S/B & c : S/C \\
 a : (S/A)/S & b : (S/B)/S & c : (S/C)/S
 \end{array}$$



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 \end{array}$$

Example derivation:

$$\frac{a : (S/A)/S \quad \frac{b : S/B \quad b : B}{bb : S}}{abb : S/A} \quad a : A}{abba : S}$$



- Context Free Grammar and Lambek Calculus are weakly equivalent (Pentus)
- If you consider only first-order types, the conversions are not too complicated...
- ... but Pentus' proof is quite tedious!



Context Free Grammar is provably inadequate for natural language:

- ... dat Jan Marie Henk zag leren lopen.
- Can be translated into  $\{a^n b^m c^n d^m \mid n, m \geq 1\}$  or  $\{w^2 \mid w \in \Sigma^*\}$  (Shieber)
- These languages are not Context Free! Can be shown by the pumping lemma.
- So we want to move beyond Context Free.
- However, Context Sensitive is too general...



## Mild Context Sensitivity

Introduced by Joshi in 1985, a class of languages  $\mathcal{L}$  is Mildly Context Sensitive iff:

- $\mathcal{L}$  contains the class of Context Free languages,
- $\mathcal{L}$  recognizes a bounded number of cross-serial dependencies, i.e. there exists  $n \geq 2$  such that  $\{w^k \mid w \in \Sigma^*\} \in \mathcal{L}$  for all  $k \leq n$ ,
- All languages in  $\mathcal{L}$  are polynomially parsable,
- All languages in  $\mathcal{L}$  have the constant growth property.

Semilinear languages have the constant growth property.

## Definition

Let  $\Sigma = \{a_1, \dots, a_n\}$  be an alphabet with some fixed order. The Parikh image of a word  $w \in \Sigma^*$  and a language  $L \subseteq \Sigma^*$  are as follows:

$$p(w) = \langle |w|_{a_1}, \dots, |w|_{a_n} \rangle,$$

$$p(L) = \{p(w) \mid w \in L\}.$$

## Definition

Two words  $w, w' \in \Sigma^*$  are letter equivalent if  $p(w) = p(w')$ .

Two languages  $L, L' \subseteq \Sigma^*$  are letter equivalent if for every  $w \in L$  there is a  $w' \in L'$  such that  $w$  and  $w'$  are letter equivalent and vice versa.

A language is semilinear iff it is letter equivalent to a regular language. Parikh's theorem says that all Context Free languages are semilinear.



# The extended Chomsky Hierarchy

We can place the Mildly Context-Sensitive Languages in the Chomsky Hierarchy:



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## The extended Chomsky Hierarchy

We can place the Mildly Context-Sensitive Languages in the Chomsky Hierarchy:

$$RL \subset CFL \subset MCSL \subset CSL \subset REL$$

However, there is (to my knowledge) no grammar formalism that characterizes precisely the class *MCSL*. Also, there is no automaton known to do this.



## Some extensions of Context Free Formalisms:

- Tree Adjoining Grammar, Head Grammar, well-nested 2-Multiple Context Free Grammar (all equivalent)
- Linear Context Free Rewriting Systems, Multiple Context Free Grammar, Minimalist Grammar, simple Range Concatenation Grammar (all equivalent)
- These formalisms all describe Mildly Context Sensitive Languages, however the two groups are distinguished.



Some extensions of the Lambek Calculus:

- Combinatory Categorical Grammar (equivalent to TAG)
- Multimodal Categorical Grammar
- Displacement Calculus
- Lambek-Grishin Calculus (exceeds TAG)
- As we will show, restrictions of the Displacement Calculus generate Mildly Context Sensitive Languages.





# Introduction

- Multiple Context Free Grammars are like Context Free Grammars, but they act on *tuples* of strings.
- The max. arity of tuples acted upon in such a grammar provides a measure that invokes an infinite hierarchy in the sense of generative capacity and computational complexity.

# Grammar

## Definition

A Multiple Context Free Grammar is a 6-tuple  $(N, T, F, P, S, dim)$  such that:

- $N$  is a finite set of non-terminal symbols, and  $dim$  assigns a dimension to every non-terminal,
- $T$  is a finite set of terminal symbols,
- $F$  is a finite set of mcf-functions,
- $P$  is a finite set of production rules of the form  
 $A_0 \rightarrow f[A_1, \dots, A_k]$  with  $k \geq 0$   
 $f : (T^*)^{dim(A_1)} \times \dots \times (T^*)^{dim(A_k)} \rightarrow (T^*)^{dim(A_0)}$  and  $f \in F$ .
- $S \in N$  is a distinguished start symbol such that  $dim(S) = 1$ .

# mcf-function

## Definition

$f$  is a *mcf*-function if:

- $f(\vec{x}_1, \dots, \vec{x}_k) = \alpha_1 \beta_1 \dots \alpha_n \beta_n$  where  $\alpha_i \in T^*$  and  $\beta_j$  a variable from some  $x_m$ .
- Each variable  $x_{ij}$  from some vector  $x_m$  occurs at most (or exactly) once in the right hand side (**linearity**)

## Definition

The dimension of a *MCFG*  $G$  is given by the maximal dimension of the non-terminals, i.e.  $\max(\dim(N))$ . We call a *MCFG* of dimension  $k$  a  $k$ -*MCFG*.



Example & Notation:  $\{a^n b^n c^n d^n \mid n \geq 1\}$

$$S \rightarrow f_1[A]$$

$$A \rightarrow f_2[A]$$

$$A \rightarrow f_3[]$$

$$f_1[\langle X, Y \rangle] = \langle XY \rangle \quad f_2[\langle X, Y \rangle] = \langle aXb, cYd \rangle \quad f_3[] = \langle ab, cd \rangle$$

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Example run:

$$\begin{aligned} S &\rightarrow f_1[A] \rightarrow f_1[f_2[A]] \rightarrow f_1[f_2[f_3[]]] \\ &= f_1[f_2[\langle ab, cd \rangle]] = f_1[\langle aabb, ccdd \rangle] = \langle aabbccdd \rangle. \end{aligned}$$

# *sRCG* notation

In equivalent notation:

$$\begin{aligned}
 S(XY) &\rightarrow A(X, Y) \\
 A(aXb, cYd) &\rightarrow A(X, Y) \\
 A(ab, cd) &\rightarrow \epsilon
 \end{aligned}$$

# *sRCG* notation

In equivalent notation:

$$\begin{aligned}
 S(XY) &\rightarrow A(X, Y) \\
 A(aXb, cYd) &\rightarrow A(X, Y) \\
 A(ab, cd) &\rightarrow \epsilon
 \end{aligned}$$

Example run:

$$S(aabbccdd) \rightarrow A(aabb, ccdd) \rightarrow A(ab, cd) \rightarrow \epsilon.$$



## Well-nestedness

- Well-nested :  $A(XY, ZW) \rightarrow B(X, W)C(Y, Z)$
- NOT well-nested :  $A(XY, ZW) \rightarrow B(X, Z)C(Y, W)$

We denote well-nested MCFG by  $MCFG_{wn}$ .



# String language

## Definition

Let  $G = (N, T, F, P, S)$  be a  $MCFG_{(wn)}$ .

- For every  $A \in N$ :
  - ① For every  $(A \rightarrow f[]) \in P : f[] \in \text{yield}(A)$ ,
  - ② For every  $(A \rightarrow f[A_1, \dots, A_k]) \in P (k \geq 1)$  and all tuples  $\tau_1 \in \text{yield}(A_1) \dots \tau_k \in \text{yield}(A_k) : f[\tau_1, \dots, \tau_k] \in \text{yield}(A)$ .
  - ③ Nothing else is in  $\text{yield}(A)$ .
- The string language of  $G$  is  $L(G) = \{w \mid \langle w \rangle \in \text{yield}(S)\}$ .

# Closure Properties

## Theorem

*For every  $k$ , the class of  $k$ -MCFL<sub>(wn)</sub>s is closed under:*

- *substitution*
- *homomorphism and inverse homomorphism*
- *union, concatenation and Kleene closure*
- *intersection with a regular language*

*So the class of  $k$ -MCFL<sub>(wn)</sub>s forms a substitution closed full  
Abstract Family of Languages.*

## Mild Context Sensitivity

- Every  $MCFL_{(wn)}$  is semilinear,
- The (fixed) recognition problem for  $k$ - $MCFG_{(wn)}$ s is polynomial,
- $count_k = \{a_1^n \dots a_k^n \mid n \geq 0\} \in (k-1)$ - $MCFL$  for  $k$  odd,  $(k-2)$ - $MCFL$  o.w.
- $cross_k = \{a_1^n b_1^m \dots, a_k^n b_k^m \mid l, k \geq 0\} \in k$ - $MCFL$ ,
- $copy_k = \{w^k \mid w \in \Sigma^*\} \in k$ - $MCFL$ .



## Mild Context Sensitivity

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- $cross_k = \{a_1^n b_1^m \dots, a_k^n b_k^m \mid l, k \geq 0\} \in k$ - $MCFL$ ,
- $copy_k = \{w^k \mid w \in \Sigma^*\} \in k$ - $MCFL$ .

So, mild context-sensitivity?



## MIX is a MCFL

- $MIX_k = \{w \in \{a_1, \dots, a_k\}^* \mid |a_1|_w = \dots = |a_k|_w\}$ .  
 $MIX_3 \in 2\text{-MCFL}$  (Salvati 2011).



## MIX is a MCFL

- $MIX_k = \{w \in \{a_1, \dots, a_k\}^* \mid |a_1|_w = \dots = |a_k|_w\}$ .  
 $MIX_3 \in 2\text{-MCFL}$  (Salvati 2011).
- It is shown in (Kanazawa, Salvati 2012) that  $MIX_3$  is not a well-nested 2-MCFL.
- So, is  $MCFG_{wn}$  a \*better\* candidate for Mild Context-Sensitivity?

# Introduction

Lexicalization is important for our purposes because categorial grammar is by definition lexicalized.

- Displacement grammars are an extension of Lambek grammars
- Displacement grammars extend Lambek grammars by allowing wrapping.
- For concatenation, we have 0 as the unit, for wrapping we have 1 (separator) as unit.
- Let  $|_k$  denote insertion at the  $k$ -th separator, e.g.  
 $a1bc1d |_2 ef = a1bcefd$ .



## Definition

Let  $T$  be a set of atomic types. Then the set  $T^*$  of *general displacement types* is defined as follows:

- If  $A \in T$ , then  $A \in T^*$ ,
- If  $A, B \in T^*$ , then  
 $A \bullet B, B/A, A \setminus B, \quad A \odot_k B, A \uparrow_k B, B \downarrow_k A \in T^*$ .

## Definition

A Displacement grammar is a triple  $(W, \delta, S)$  such that:

- $W$  is a set of words,
- $\delta \subseteq W \times T^*$  is a type assignment relation,
- $S \in T^*$  is a distinguished start symbol.

Proof Theory of  $D_{I,J}$ 

$$\frac{\delta(\alpha) = A}{\alpha : A} \text{Lex.}$$

$$\frac{}{0 : I} \text{Ax.I}$$

$$\frac{}{1 : J} \text{Ax.J}$$

$$\frac{\alpha : A \quad \beta : B}{\alpha + \beta : A \bullet B} I \bullet$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \bullet B \quad \Delta(\alpha + \beta) : C}{\Delta(\gamma) : C} E \bullet$$

$$\frac{\alpha : A}{\alpha + \gamma : B} I \setminus$$

$$\frac{\alpha : A \quad \gamma : A \setminus B}{\alpha + \gamma : B} E \setminus$$

$$\frac{\alpha : A}{\gamma + \alpha : B} I /$$

$$\frac{\gamma : B / A \quad \alpha : A}{\gamma + \alpha : B} E /$$

$$\frac{\alpha : A \quad \beta : B}{\alpha |_k \beta : A \odot_k B} I \odot_k$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \odot_k B \quad \Delta(\alpha |_k \beta) : C}{\Delta(\gamma) : C} E \odot_k$$

$$\frac{\alpha : A}{\alpha |_k \gamma : B} I \downarrow_k$$

$$\frac{\alpha : A \quad \gamma : A \downarrow_k B}{\alpha |_k \gamma : B} E \downarrow_k$$

$$\frac{\alpha : A}{\gamma |_k \alpha : B} I \uparrow_k$$

$$\frac{\gamma : B \uparrow_k A \quad \alpha : A}{\gamma |_k \alpha : B} E \uparrow_k$$

Proof Theory of  $D^1$ 

$$\frac{\delta(\alpha) = A}{\alpha : A} \text{Lex.}$$

$$\frac{}{0 : I} \text{Ax.I}$$

$$\frac{}{1 : J} \text{Ax.J}$$

$$\frac{\alpha : A \quad \beta : B}{\alpha + \beta : A \bullet B} I \bullet$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \bullet B \quad \Delta(\alpha + \beta) : C}{\Delta(\gamma) : C} E \bullet$$

$$\frac{\alpha : A}{\alpha + \gamma : B} \quad \wedge$$

$$\frac{\alpha : A \quad \gamma : A \setminus B}{\alpha + \gamma : B} E \setminus$$

$$\frac{\alpha : A}{\gamma + \alpha : B} \quad \vee$$

$$\frac{\gamma : B / A \quad \alpha : A}{\gamma + \alpha : B} E /$$

$$\frac{\alpha : A \quad \beta : B}{\alpha |_k \beta : A \odot_k B} I \odot_k$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \odot_k B \quad \Delta(\alpha |_k \beta) : C}{\Delta(\gamma) : C} E \odot_k$$

$$\frac{\alpha : A}{\alpha |_k \gamma : B} \quad \downarrow$$

$$\frac{\alpha : A \quad \gamma : A \downarrow_k B}{\alpha |_k \gamma : B} E \downarrow_k$$

$$\frac{\alpha : A}{\gamma |_k \alpha : B} \quad \uparrow$$

$$\frac{\gamma : B \uparrow_k A \quad \alpha : A}{\gamma |_k \alpha : B} E \uparrow_k$$

Proof Theory of  $1-D_J$ 

$$\frac{\delta(\alpha) = A}{\alpha : A} \text{Lex.}$$

$$\frac{}{0 : I} \text{Ax.I}$$

$$\frac{}{1 : J} \text{Ax.J}$$

$$\frac{\alpha : A \quad \beta : B}{\alpha + \beta : A \bullet B} I \bullet$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \bullet B \quad \Delta(\alpha + \beta) : C}{\Delta(\gamma) : C} E \bullet$$

$$\frac{\alpha : A}{\alpha + \gamma : B} \wedge \quad \frac{\gamma : A \setminus B}{\gamma : B/A} \wedge$$

$$\frac{\alpha : A \quad \gamma : A \setminus B}{\alpha + \gamma : B} E \setminus$$

$$\frac{\alpha : A}{\gamma + \alpha : B} I / \quad \frac{\gamma : B/A}{\gamma + \alpha : B} I /$$

$$\frac{\gamma : B/A \quad \alpha : A}{\gamma + \alpha : B} E /$$

$$\frac{\alpha : A \quad \beta : B}{\alpha | \beta : A \odot_k B} I \odot$$

$$\frac{\alpha : A \quad \beta : B \quad \gamma : A \odot_k B \quad \Delta(\alpha | \beta) : C}{\Delta(\gamma) : C} E \odot_k$$

$$\frac{\alpha : A}{\alpha | \gamma : B} I \downarrow_k \quad \frac{\alpha : A \quad \gamma : A \downarrow_k B}{\alpha | \gamma : B} E \downarrow_k$$

$$\frac{\alpha : A \quad \gamma : A \downarrow_k B}{\alpha | \gamma : B} E \downarrow_k$$

$$\frac{\alpha \neq 0 : A}{\gamma | \alpha : B} I \uparrow \quad \frac{\gamma | \alpha : B}{\gamma : B \uparrow_k A} I \uparrow$$

$$\frac{\gamma : B \uparrow_k A \quad \alpha : A}{\gamma | \alpha : B} E \uparrow$$

# Copy Language in $D^1$

$$S' = S \odot_1 I$$

$$\begin{array}{lll} a : A & a : J \setminus (A \setminus S) & a : J \setminus (S \downarrow_1 (A \setminus S)) \\ b : B & b : J \setminus (B \setminus S) & b : J \setminus (S \downarrow_1 (B \setminus S)) \end{array}$$

Copy Language in  $D^1$ 

$$S' = S \odot_1 I$$

$$\begin{array}{lll} a : A & a : J \setminus (A \setminus S) & a : J \setminus (S \downarrow_1 (A \setminus S)) \\ b : B & b : J \setminus (B \setminus S) & b : J \setminus (S \downarrow_1 (B \setminus S)) \end{array}$$

Example derivation:

$$\frac{\frac{a : A \quad \frac{1 : J \quad a : J \setminus (A \setminus S)}{1a : A \setminus S}}{a1a : S} \quad \frac{b : J \setminus (S \downarrow_1 (B \setminus S))}{1b : S \downarrow_1 (B \setminus S)}}{\frac{b : B \quad a1ba : B \setminus S}{ba1ba : S} \quad 0 : I}{baba : S \odot_1 I}$$

# Copy Language in $1-D_J$

$$S = (P \uparrow X) \odot I \quad x : X$$

$$a : A \quad a : X \setminus (A \setminus P) \quad a : X \setminus ((P \uparrow X) \downarrow (A \setminus P))$$

$$b : B \quad b : X \setminus (B \setminus P) \quad b : X \setminus ((P \uparrow X) \downarrow (B \setminus P))$$







$L(\text{MCFG}_{wn}) = L(D^1)$  (Wijnholds, 2011)

$L(\text{MCFG}_{wn}) \subseteq L(D^1)$  (Wijnholds, 2011)

- From left to right: Given a lexicalized rule  $A(\alpha_1 a \alpha_2) \rightarrow B_1(\beta_1) \dots B_n(\beta_n)$ , we can always (nondeterministically) find a type assignment  $a : T$  such that precisely the following derivation is allowed:

$$\frac{\overline{\alpha : T} \text{ Lex. } \beta_1 \overset{\cdot}{\vdots} B \quad \dots \quad \beta_n \overset{\cdot}{\vdots} B}{\alpha_1 a \alpha_2 : A}$$



$L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)

$L(MCFG_{wn}) \subseteq L(D^1)$  (Wijnholds, 2011)

Examples:

- $A(aXY, Z) \rightarrow B(X, Z) C(Y)$   
 $\rightsquigarrow a : A / (B \odot_1 (C \bullet J))$
- $A(Xa, YZ) \rightarrow B(X, Z) C(Y)$   
 $\rightsquigarrow a : ((B \odot_1 (J \bullet C)) \downarrow_1 A) / J$



$L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)

## $L(MCFG_{wn}) \supseteq L(D^1)$ (Wijnholds, 2011)

- From right to left: a construction in stages. In the first stage, we construct the set  $P_0 = \{R^A(w) \rightarrow \epsilon \mid \delta(w) = A\}$ .
- In each following stage, we *decompose* the types, e.g. for any  $R^{A \setminus B}(\alpha_1, \dots, \alpha_n) \rightarrow \gamma$ , we add a rule  $R^B(Y_1, \dots, Y_m X_1, \dots, X_n) \rightarrow R^A(Y_1, \dots, Y_k) R^{A \setminus B}(X_1, \dots, X_n)$ ,

and for any  $\gamma_0 \rightarrow \gamma_1 R^{A \bullet B}(Z_1, \dots, Z_k) \gamma_2$  we add a rule

$$R^{A \bullet B}(X_1, \dots, X_n Y_1, \dots, Y_m) \rightarrow R^A(X_1, \dots, X_n) R^B(Y_1, \dots, Y_m)$$

(respecting sorts)

- The fixed point of the staged construction plus a rule for the start symbol gives us the wanted grammar.



$L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)

## Example: Copy language

$$S' = S \odot_1 I$$

 $a : A$ 
 $a : J \setminus (A \setminus S)$ 
 $a : J \setminus (S \downarrow_1 (A \setminus S))$ 
 $b : B$ 
 $b : J \setminus (B \setminus S)$ 
 $b : J \setminus (S \downarrow_1 (B \setminus S))$



$L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)

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$$S'(XY) \rightarrow S(X, Y)$$

$$\begin{array}{lll} R^A(a). & R^{J \setminus (A \setminus S)}(a). & R^{J \setminus (S \downarrow_1 (A \setminus S))}(a). \\ R^B(b). & R^{J \setminus (B \setminus S)}(b). & R^{J \setminus (S \downarrow_1 (B \setminus S))}(b). \end{array}$$



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$$\begin{array}{lll} a : A & a : J \setminus (A \setminus S) & a : J \setminus (S \downarrow_1 (A \setminus S)) \\ b : B & b : J \setminus (B \setminus S) & b : J \setminus (S \downarrow_1 (B \setminus S)) \end{array}$$

$$S'(XY) \rightarrow S(X, Y)$$

$$\begin{array}{lll} R^A(a). & R^{J \setminus (A \setminus S)}(a). & R^{J \setminus (S \downarrow_1 (A \setminus S))}(a). \\ R^B(b). & R^{J \setminus (B \setminus S)}(b). & R^{J \setminus (S \downarrow_1 (B \setminus S))}(b). \end{array}$$

$$\begin{array}{ll} R^A \setminus S(\epsilon, X) \rightarrow R^{J \setminus (A \setminus S)}(X) & R^{S \downarrow_1 (A \setminus S)}(\epsilon, X) \rightarrow R^{J \setminus (S \downarrow_1 (A \setminus S))}(X) \\ R^B \setminus S(\epsilon, X) \rightarrow R^{J \setminus (B \setminus S)}(X) & R^{S \downarrow_1 (B \setminus S)}(\epsilon, X) \rightarrow R^{J \setminus (S \downarrow_1 (B \setminus S))}(X) \end{array}$$



$L(MCFG_{wn}) = L(D^1)$  (Wijnholds, 2011)

## Example: Copy language

$$S' = S \odot_1 I$$

$$\begin{array}{lll} a : A & a : J \setminus (A \setminus S) & a : J \setminus (S \downarrow_1 (A \setminus S)) \\ b : B & b : J \setminus (B \setminus S) & b : J \setminus (S \downarrow_1 (B \setminus S)) \end{array}$$

$$S'(XY) \rightarrow S(X, Y)$$

$$\begin{array}{lll} R^A(a). & R^{J \setminus (A \setminus S)}(a). & R^{J \setminus (S \downarrow_1 (A \setminus S))}(a). \\ R^B(b). & R^{J \setminus (B \setminus S)}(b). & R^{J \setminus (S \downarrow_1 (B \setminus S))}(b). \end{array}$$

$$\begin{array}{ll} R^{A \setminus S}(\epsilon, X) \rightarrow R^{J \setminus (A \setminus S)}(X) & R^{S \downarrow_1 (A \setminus S)}(\epsilon, X) \rightarrow R^{J \setminus (S \downarrow_1 (A \setminus S))}(X) \\ R^{B \setminus S}(\epsilon, X) \rightarrow R^{J \setminus (B \setminus S)}(X) & R^{S \downarrow_1 (B \setminus S)}(\epsilon, X) \rightarrow R^{J \setminus (S \downarrow_1 (B \setminus S))}(X) \end{array}$$

$$\begin{array}{ll} R^S(ZY, X) \rightarrow R^A(Z)R^{A \setminus S}(Y, X) & R^{A \setminus S}(XZ, WY) \rightarrow R^S(X, Y)R^{S \downarrow_1 (A \setminus S)}(Z, W) \\ R^S(ZY, X) \rightarrow R^B(Z)R^{B \setminus S}(Y, X) & R^{B \setminus S}(XZ, WY) \rightarrow R^S(X, Y)R^{S \downarrow_1 (B \setminus S)}(Z, W) \end{array}$$



$$L(MCFG_{wn}) = L(1-D_J)$$

## Plan

- We show  $L(MCFG_{wn}) \subseteq L(1-D_J) \subseteq L(D^1)$ .
- By the first characterization, then, we have the second one:  
 $L(MCFG_{wn}) = L(1-D_J)$ .





$$L(\text{MCFG}_{wn}) = L(1-D_J)$$

$$L(\text{MCFG}_{wn}) \subseteq L(1-D_J)$$

- Basically the same construction as for  $L(\text{MCFG}_{wn}) \subseteq L(D^1)$ , but:
- For each rule labeled with  $A$  of dimension  $n$ , we add  $x_i^A : X_i^A$  for  $1 \leq i \leq n - 1$ .
- Whenever we introduce the  $k$ th separator  $J^k$  for an  $A$  tuple, we instead introduce  $x_k^A$ .
- Whenever we introduce a  $A \odot_k B$  construction, we instead use  $(A \uparrow X_k^A) \odot B$ . Similarly for  $A \downarrow_k B$ .
- We have 'flattened' types such that we only have two-dimensional strings,
- We use higher-order constructions to do intercalation.



$$L(\text{MCFG}_{wn}) = L(1-D_J)$$

$$L(\text{MCFG}_{wn}) \subseteq L(1-D_J)$$

Examples:

- $$A(aXY, Z) \rightarrow B(X, Z) C(Y)$$

$$\rightsquigarrow a : A / (B \odot_1 (C \bullet J))$$

$$\rightsquigarrow a : A / ((B \uparrow X_1^B) \odot (C \bullet X_1^A))$$
- $$A(Xa, YZ) \rightarrow B(X, Z) C(Y)$$

$$\rightsquigarrow a : ((B \odot_1 (J \bullet C)) \downarrow_1 A) / J$$

$$\rightsquigarrow a : (((((B \uparrow X_1^B) \odot (X_1^B \bullet C)) \uparrow X_1^B) \downarrow A) / X_1^A)$$



$$L(\text{MCFG}_{\text{wn}}) = L(1-D_J)$$

$$L(1-D_J) \subseteq L(D^1)$$

- An expression of type  $A \uparrow B$  is an expression of type  $A$  with an expression of type  $B$  extracted out.
- We say that a type  $A \uparrow B$  is in *input position* iff it occurs as one of the following types:  
 $(A \uparrow B) \setminus C, C / (A \uparrow B), (A \uparrow B) \bullet C, C \bullet (A \uparrow B), (A \uparrow B) \downarrow C.$
- Why? Because in these cases we need to use the  $I \uparrow$  rule to get an expression of type  $A \uparrow B$  and we want to eliminate exactly these derivations.
- Idea: We can replace  $A \uparrow B$  in input position by  $A'$  and add type assignments such that all derivable expressions of type  $A'$  mimick the behaviour of  $A \uparrow B$ .



$$L(MCFG_{wn}) = L(1-D_J)$$

## Example: Copy language (again)

$$S = (P \uparrow X) \odot I$$

$a : A$	$x : X$	
$b : B$	$a : X \setminus (A \setminus P)$	$a : X \setminus ((P \uparrow X) \downarrow (A \setminus P))$
	$b : X \setminus (B \setminus P)$	$b : X \setminus ((P \uparrow X) \downarrow (B \setminus P))$



$$L(\text{MCFG}_{\text{wn}}) = L(1-D_J)$$

## Example: Copy language (again)

$$S = (P \uparrow X) \odot I$$

$x : X$		
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$b : B$	$b : X \setminus (B \setminus P)$	$b : X \setminus ((P \uparrow X) \downarrow (B \setminus P))$

$$S = P' \odot I$$

$x : X$		
$a : A$	$a : X \setminus (A \setminus P)$	$a : X \setminus (P' \downarrow_1 (A \setminus P))$
$b : B$	$b : X \setminus (B \setminus P)$	$b : X \setminus (P' \downarrow_1 (B \setminus P))$



$$L(MCFG_{wn}) = L(1-D_J)$$

## Example: Copy language (again)

$$S = (P \uparrow X) \odot I$$

$a : A$	$x : X$	$a : X \setminus ((P \uparrow X) \downarrow (A \setminus P))$
$b : B$	$b : X \setminus (B \setminus P)$	$b : X \setminus ((P \uparrow X) \downarrow (B \setminus P))$

$$S = P' \odot I$$

$a : A$	$x : X$	$a : X \setminus (P' \downarrow_1 (A \setminus P))$
$b : B$	$b : X \setminus (B \setminus P)$	$b : X \setminus (P' \downarrow_1 (B \setminus P))$

$a : J \setminus (A \setminus P')$	$a : J \setminus (P' \downarrow_1 (A \setminus P'))$
$b : J \setminus (B \setminus P')$	$b : J \setminus (P' \downarrow_1 (B \setminus P'))$



$$L(MCFG_{wn}) = L(1-D_J)$$

## Conclusion

- We have shown two logical characterizations of the Mildly Context-Sensitive Languages
- We have a choice between a (bounded) high number of connectives but only first-order constructions or a fixed number of connectives but allowing higher-order constructions.
- Which system is favorable?
- Open problem: is there a variant of  $D$  that relates to  $MCFG$ ? If so, how exactly?